A photograph of a university courtyard. In the foreground, a person is sitting on a concrete bench. The courtyard is paved and surrounded by trees and greenery. In the background, a large, multi-story building with many windows is visible. The text is overlaid on the image in white, bold font.

Type and extent of deformation  
achieved in experimental samples  
... and what that could possibly tell us  
about real rocks ...

... or the strength of the lithosphere ...  
... or life in general ...

**= 2016 EGU Stephan Mueller medal lecture 2.0**



not the born geologist ...



... but the trained photographer

# the fascinating nature of images

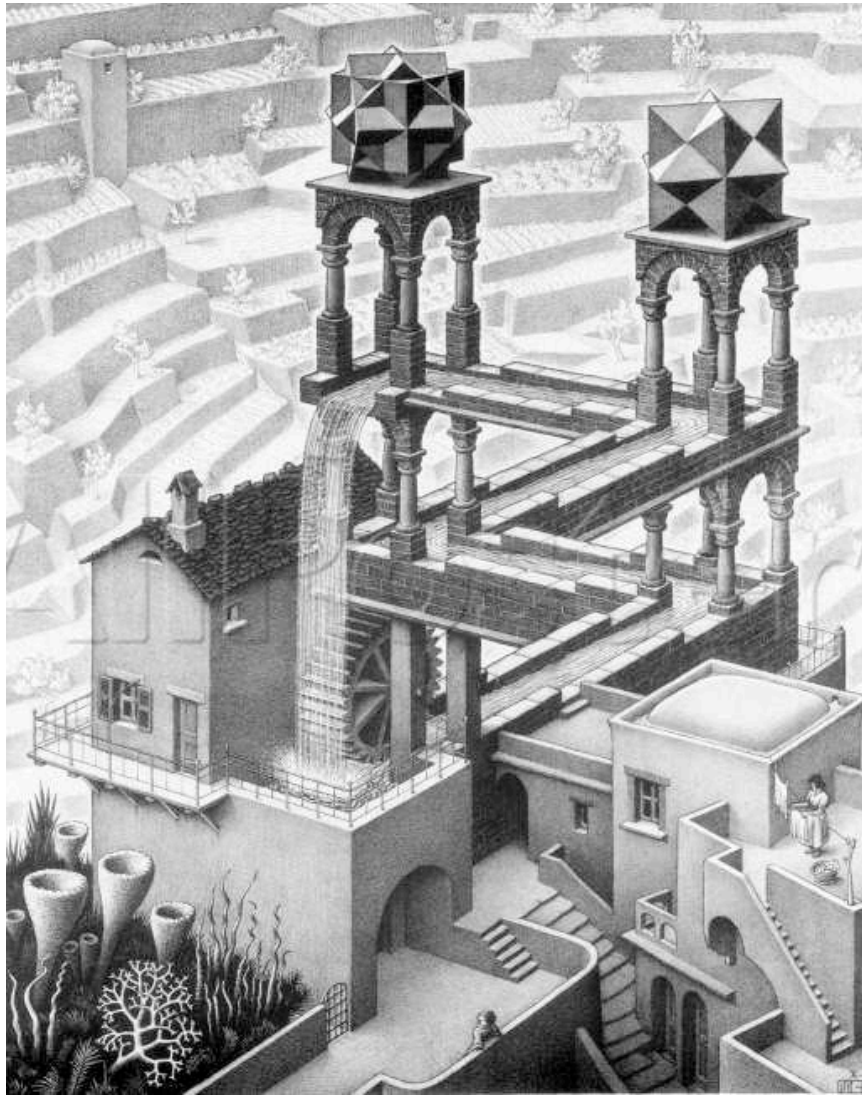


René Magritte

... image analysis is object analysis



# the worlds of 2 and 3 dimensions

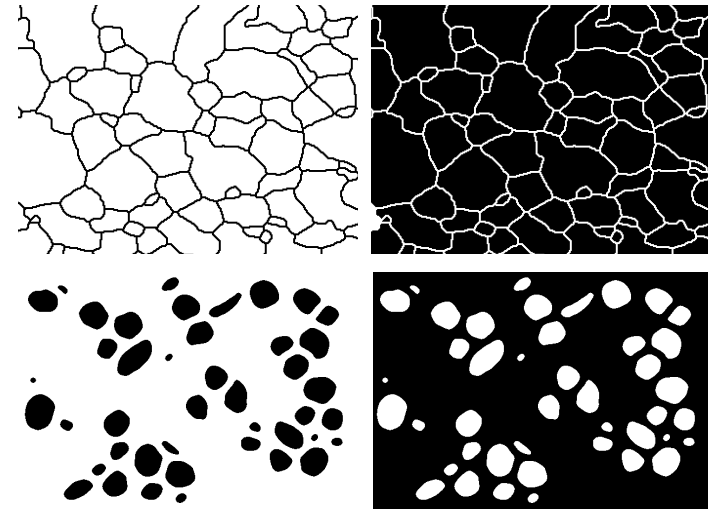
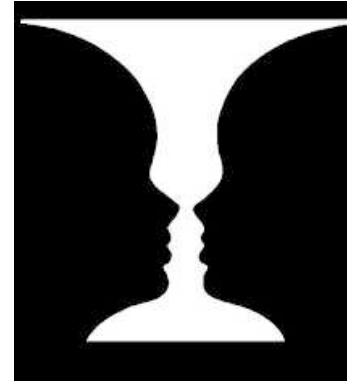
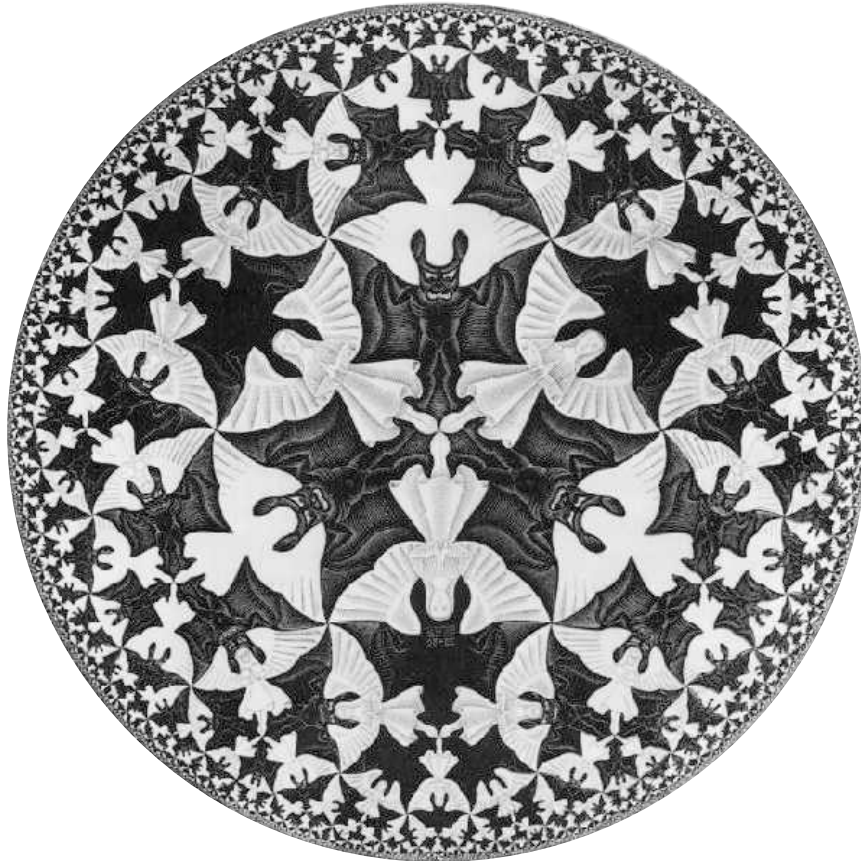


*Maurits Escher*

... and how to get from one to the other



# symmetry and the space between



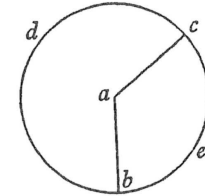
black or white - plus or minus - grains or boundaries ...

# chance and probability ...



*Newton*

Isaac Newton (1643-1727)  
chance of hitting one of two unequal  
areas of a circle by a ball



*Newton (1967 (sic!))*



*Comte de Buffon*

Georges-Louis Leclerc Comte de Buffon  
(1707–1788)  
solutions of franc-carreau and needle  
problems



*Saltykov*

Sarkis Andreevich Saltykov (1905-1983)  
... stereological theorems

... the statistics of spatial processes



# thin sections are random draws...



Delesse

Achille Ernest Oscar Joseph Delesse (1817-1881)

$$V_V = A_A$$



Rosiwal

August Karl Rosiwal (1860-1923)

$$V_V = A_A = L_L$$



Glagolev

Nil Alexandrovich Glagolev (1888-1945)

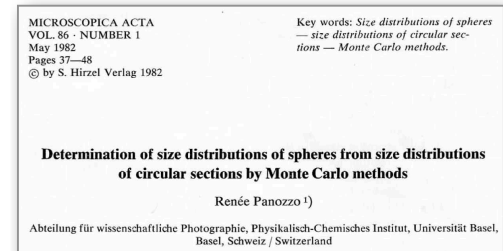
$$V_V = A_A = L_L = P_P$$



Underwood

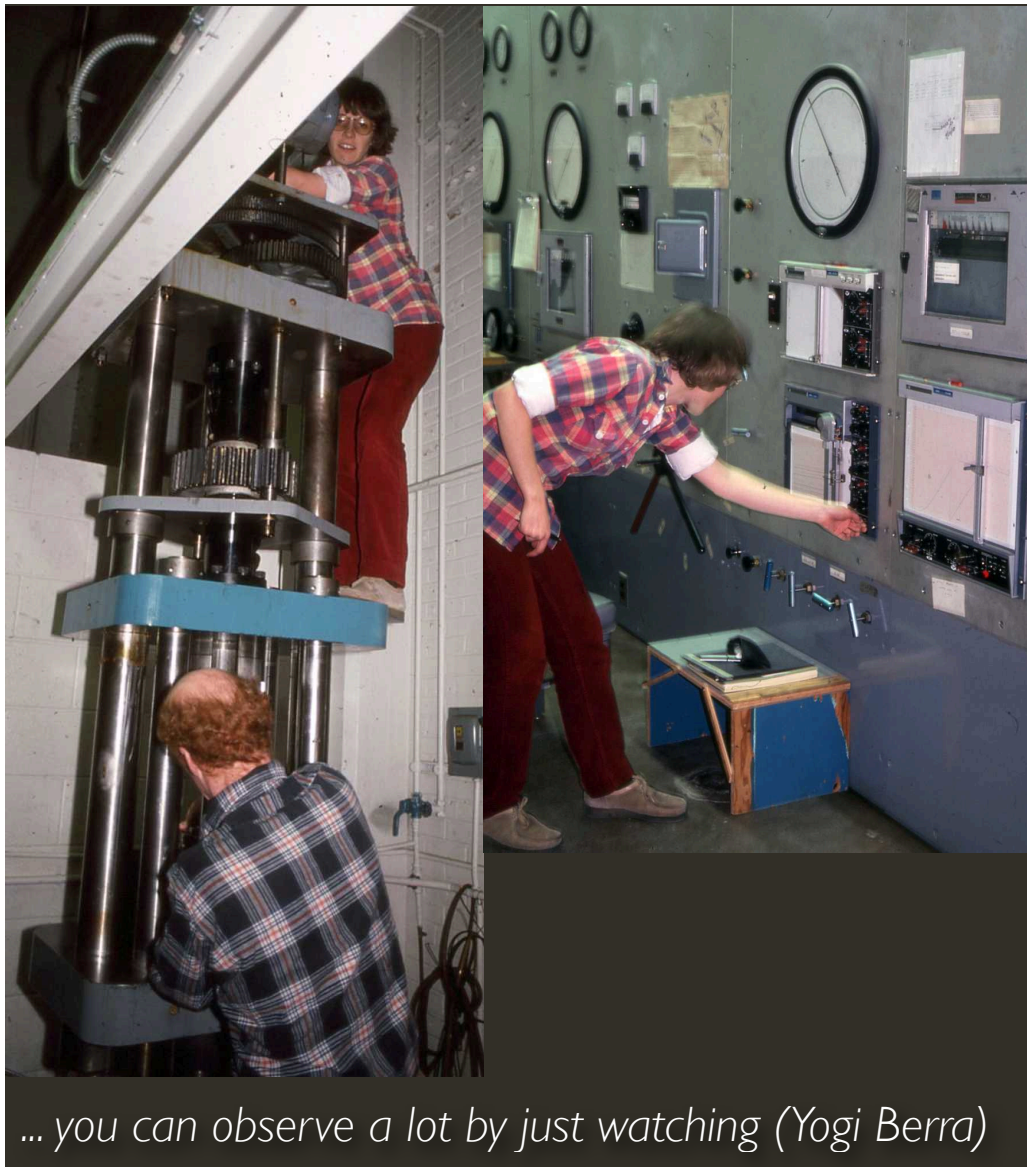
Ervin E. Underwood (1918-1995)  
'Quantitative Stereology' (1970)

... and Renée Panozzo (1982)

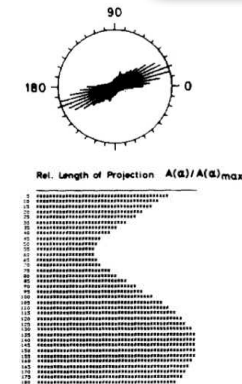
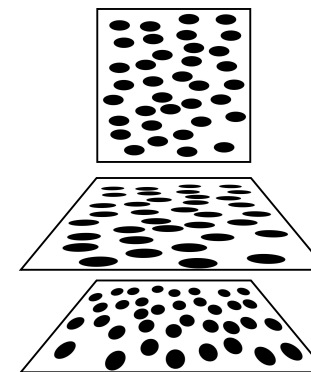
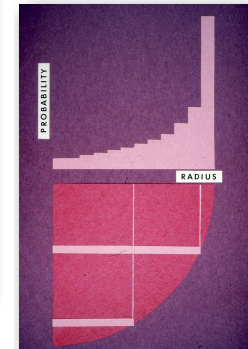
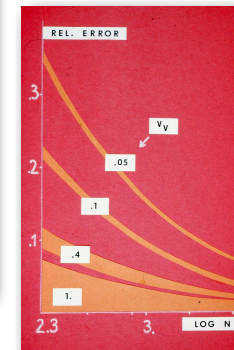
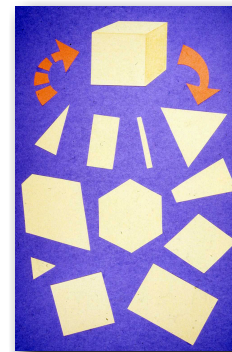
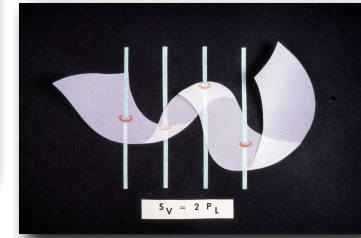
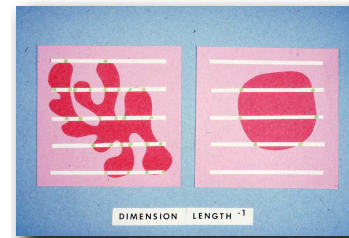


... taking chances with Monte Carlo

# go West ! and do experiments !



... you can observe a lot by just watching (Yogi Berra)



... and publish on fabric analysis (?) ...



# from workshops ...

Renée Heilbronner, Steve Barrett  
**Image Analysis in Earth Sciences**  
 Microstructures and Textures of Earth Materials

Image Analysis in Earth Sciences is a graduate-level textbook for researchers and students interested in the quantitative microstructure and texture analysis of earth materials. Methods of analysis and applications are introduced using carefully worked examples. The input images are typically derived from earth materials acquired at a wide range of scales through digital photography, light and electron microscopy. The book focuses on image acquisition, pre- and post-processing on the extraction of objects (segmentation), the analysis of volumes and grain size distributions, on shape fabric analysis (particle and surface fabrics) and the analysis of the frequency domain (FFT and ACF). The last chapters are dedicated to the analysis of crystallographic fabrics and orientation imaging. Throughout the book the free software Image-SXM is used.

Renée Heilbronner has many years of experience in the field of image analysis and has developed several software packages for microstructure analysis of grain size, shape and grain orientations. She has also developed the CIP method for crystallographic texture determination and orientation imaging based on polarization microscopy and digital image processing. She has contributed to the development of the free image analysis software Image-SXM, version N11 through and is a member of a growing group of international image analysis experts who are setting up workshops and leading a network for microstructure and texture research involving mathematicians, material scientists and geologists. As an experienced teacher of image analysis at different levels ranging from general introductory courses to specialized lecture workshops, she has taught at various universities all over the world. <http://people.mbari.ch/renehe>

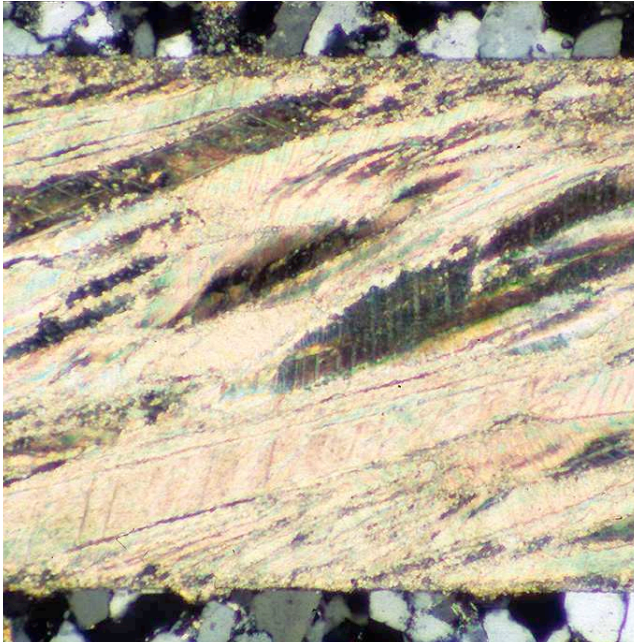
Steve Barrett is the author of the internationally renowned image analysis software Image-SXM. He has been developing the software continuously over the past two decades from its origin as a spin-off from the research N11 Image to the extension that allow it to handle images from over fifty types of optical and scanning microscopes. A customized version of this software (ProCIP) based on the CIP method can handle the calculation, display, analysis and manipulation of images representing the crystallographic orientation of grains in rock samples imaged by polarizing microscopes. He has published widely in the field of microstructure and has also collaborated with medical scientists to create microscopy image analysis software for medical applications (MAMSA). He has over twenty years experience teaching in undergraduate and postgraduate courses. <http://www.bic.ac.uk/~sbb>

Earth Sciences  
 ISBN 978-1-4419-1844-1  
 Springer

# ... to the textbook



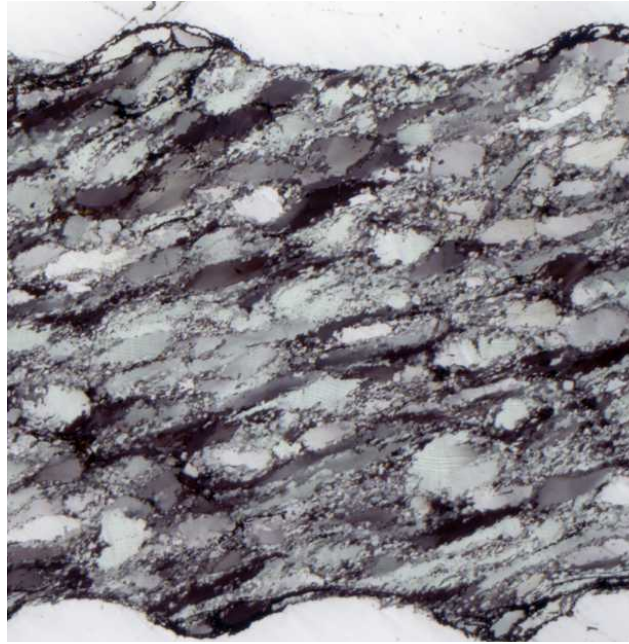
# let's take a look at three suites of samples ...



*Carrara marble*

Stefan Schmid  
Steve Bauer

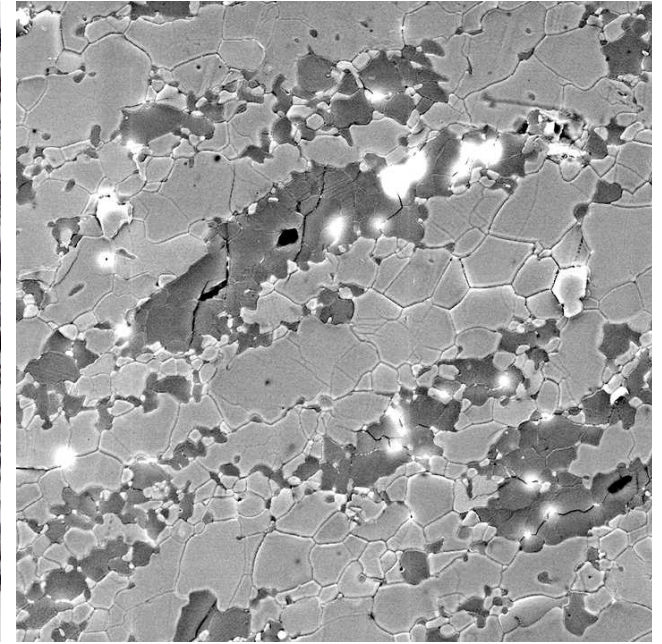
Triaxial gas apparatus  
Center for Tectonophysics



*Black Hills quartzite*

Jan Tullis

Solid medium apparatus  
Brown University



*synthetic mantle*

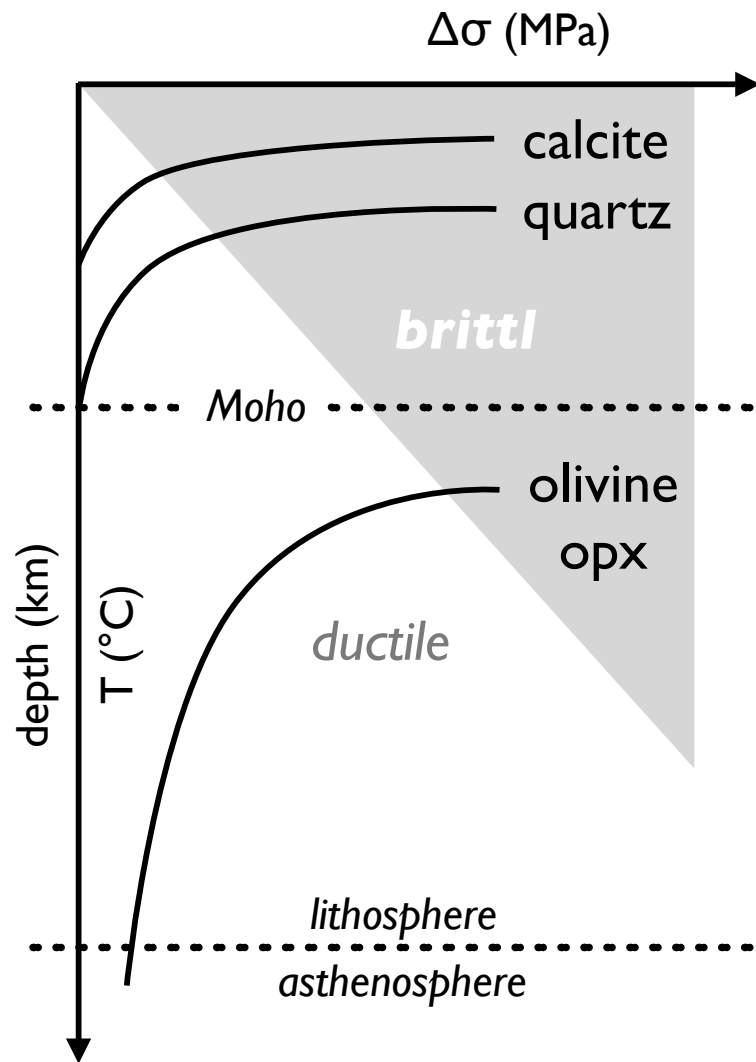
Miki Tasaka  
David Kohlstedt  
Mark Zimmerman

Torsion apparatus  
University of Minnesota

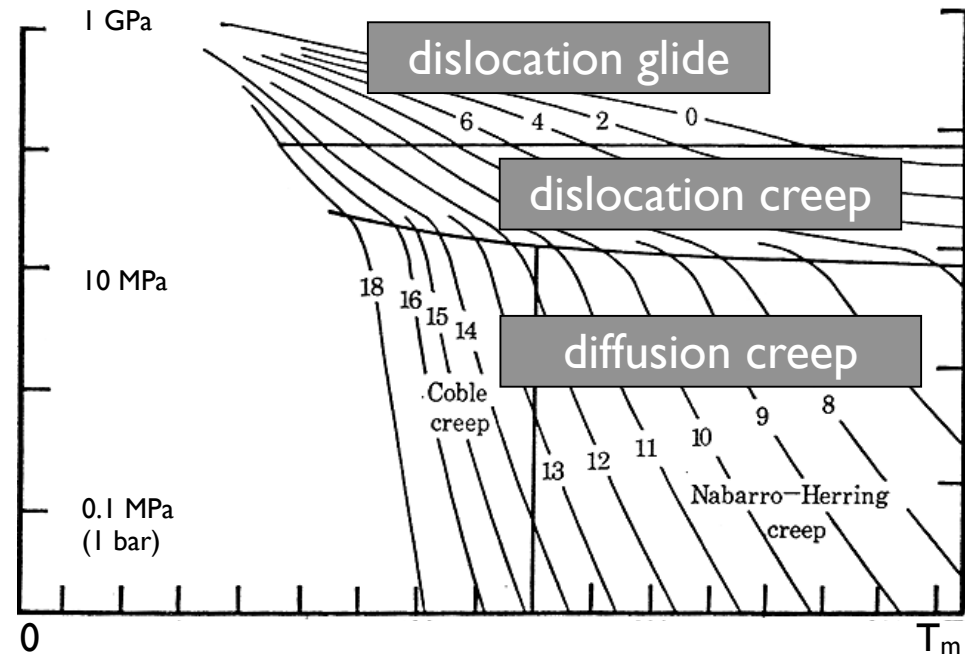
... to see how rheology and microstructure are connected



# a journey from the upper crust into the mantle ...



$$\dot{\epsilon} = A \cdot \exp(B \cdot \Delta\sigma)$$

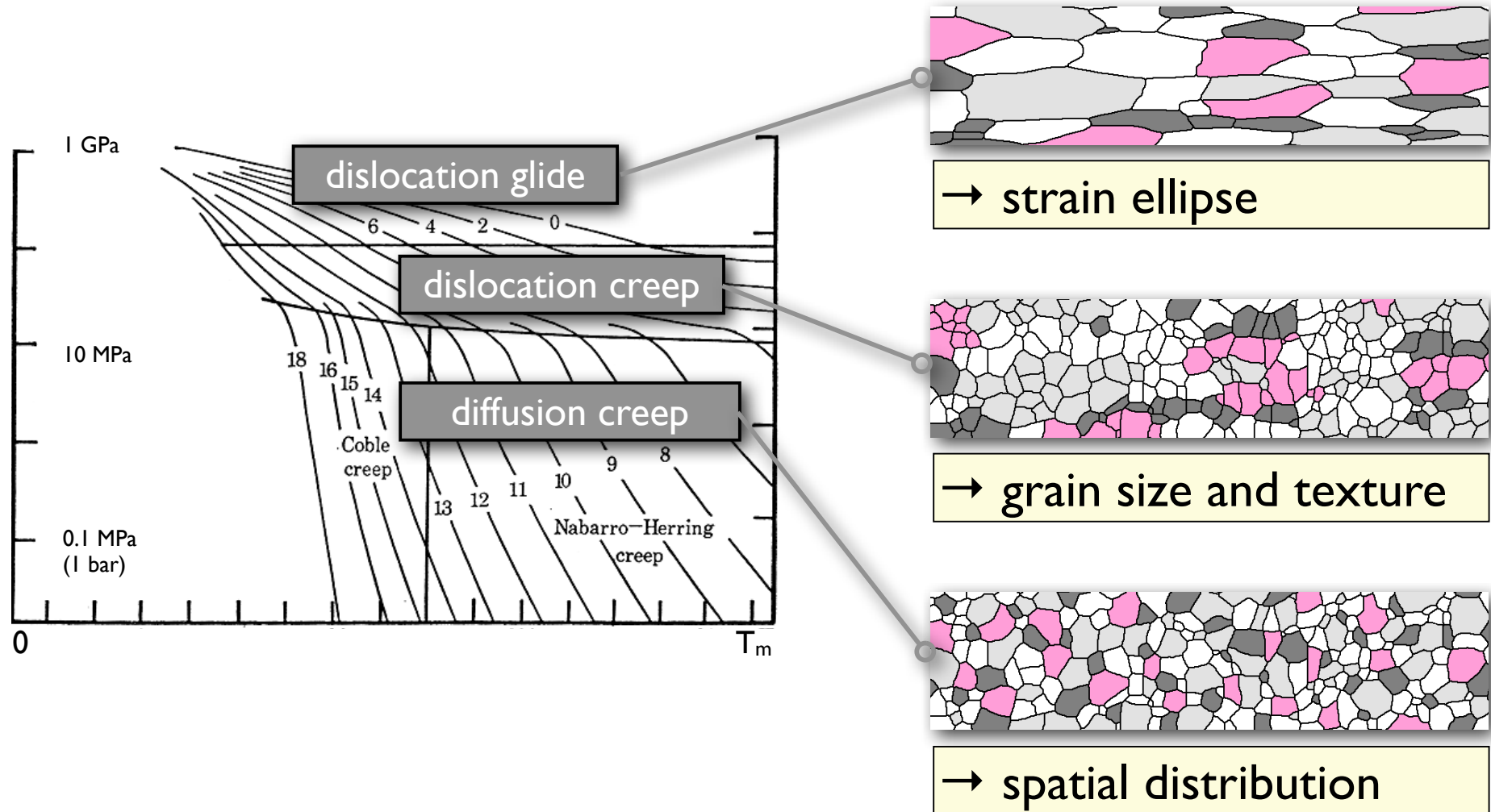


$$\dot{\epsilon} = A \cdot \Delta\sigma^n \cdot \exp(-Q/RT)$$

$$\dot{\epsilon} = A \cdot \Delta\sigma^n \cdot d^m \cdot \exp(-Q/RT)$$

... and across the deformation mechanism map

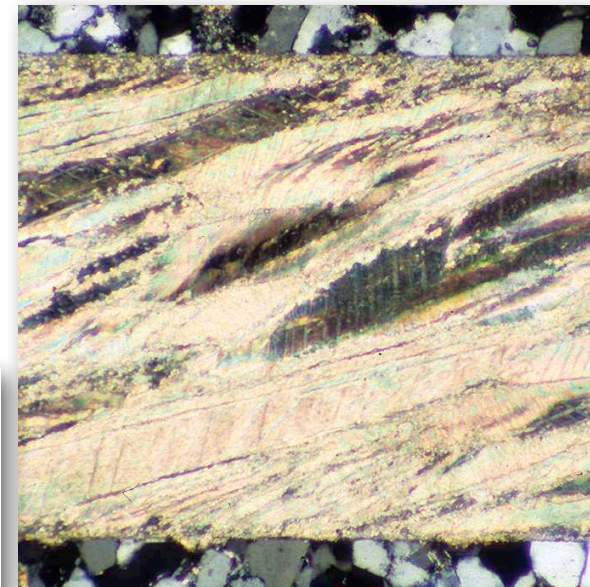
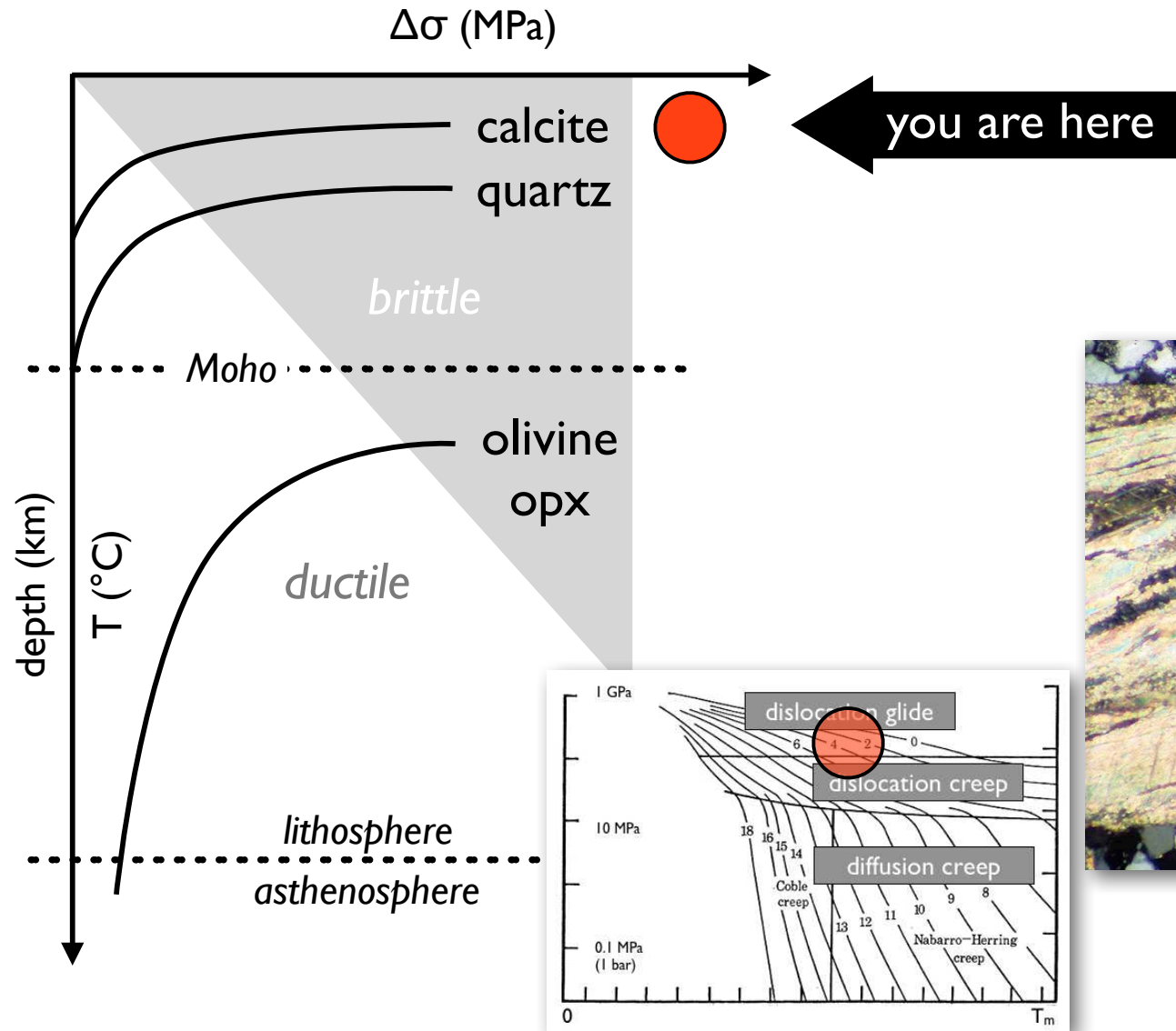
# Q: how to recognize deformation mechanisms ...



... when looking at a deformed samples ?



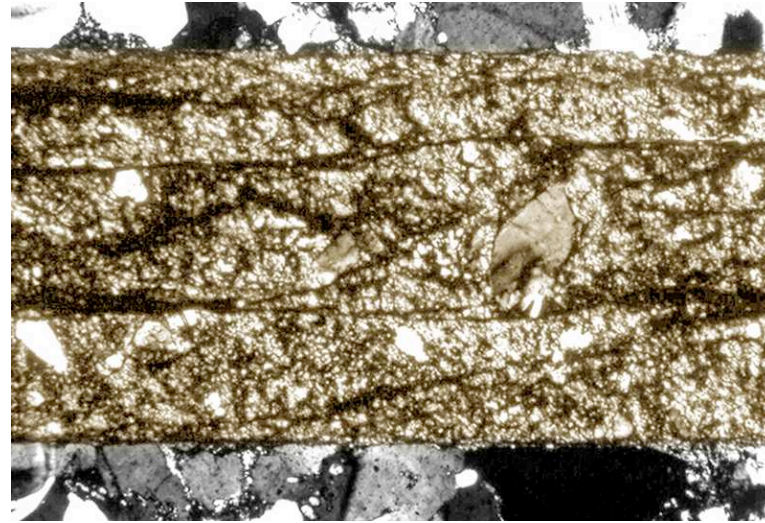
# 1st stop:



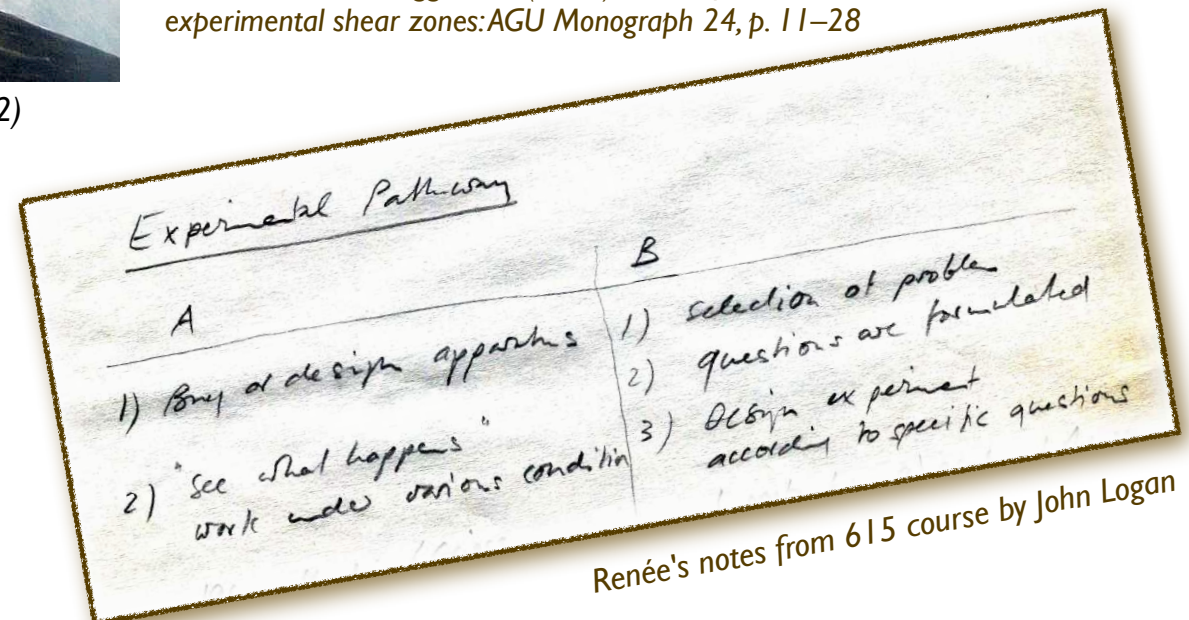
# motivation: do the Glarus thrust in the lab



Glarus Thrust, drawing by Hans Conrad Escher (1812)



Friedman, M. and Higgs, N. G. (1981) Calcite fabrics in experimental shear zones: AGU Monograph 24, p. 11-28



Renée's notes from 615 course by John Logan

... and remember 615



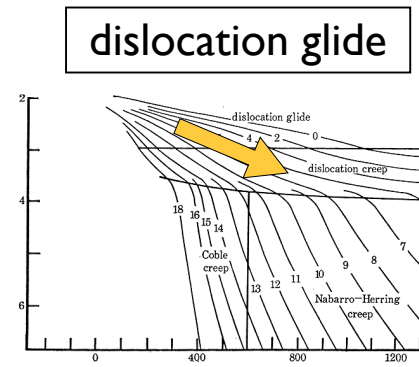
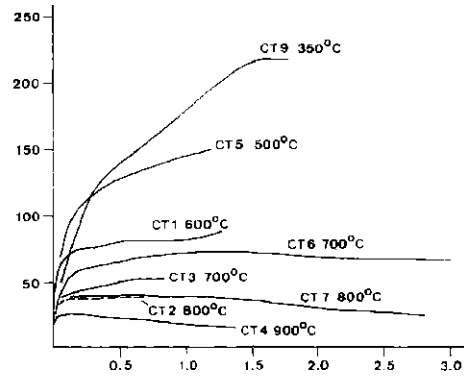
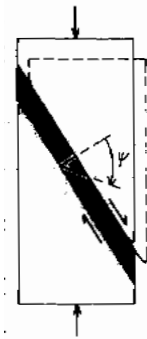
# celebrating 30 years of simple shear ...



Stefan Schmid

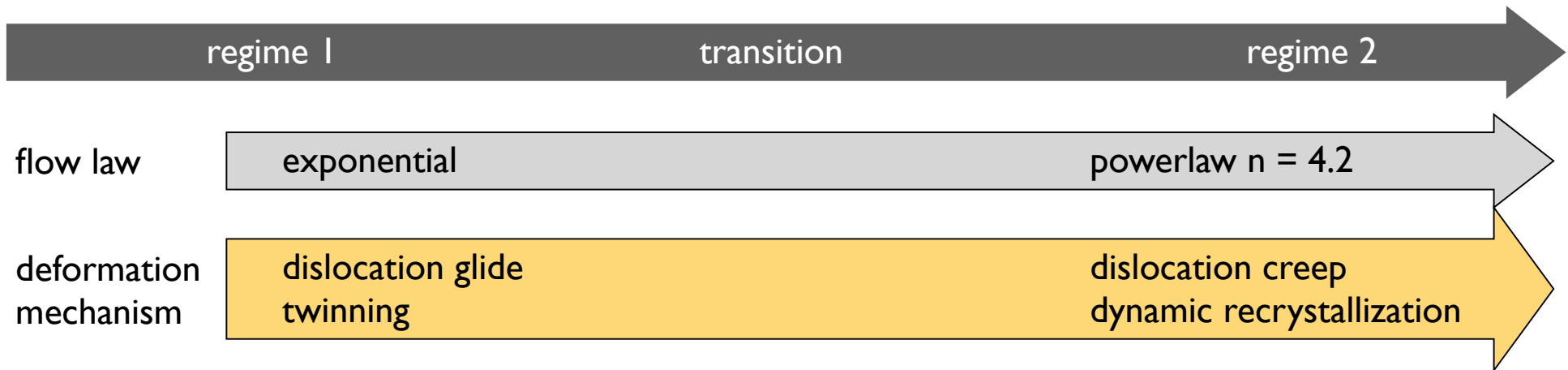
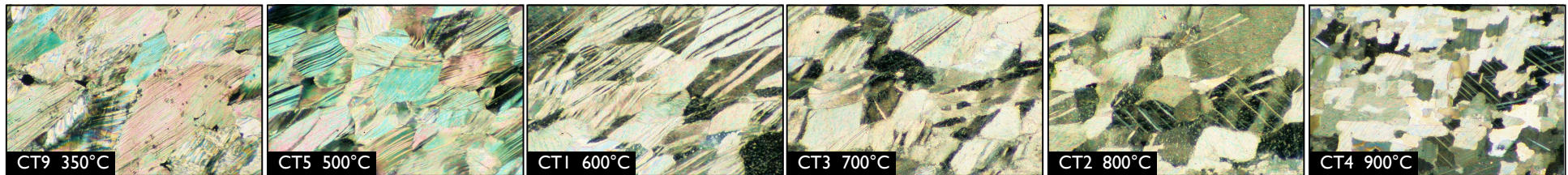


Steve Bauer



dislocation creep

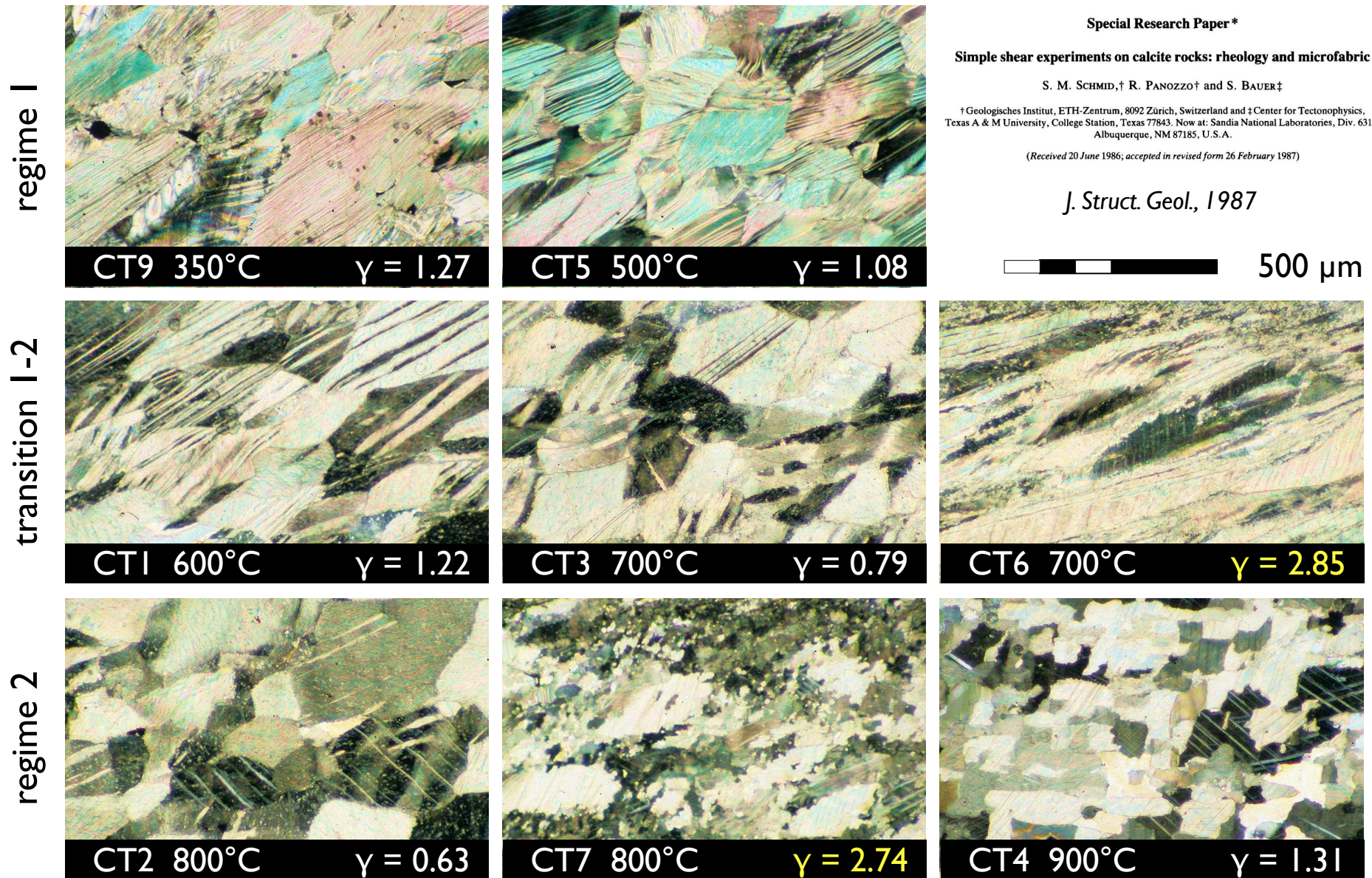
diffusion creep



... 50 years of Center for Tectonophysics



# deforming grains of Carrara marble ...



... now you see them - now you don't ...

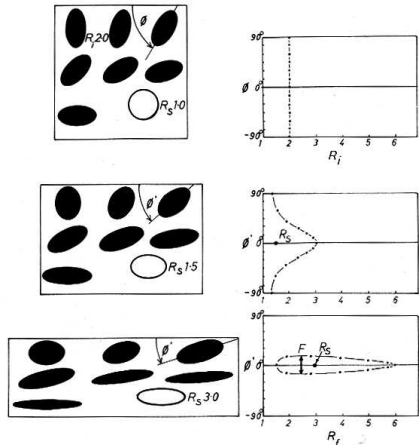


# how to measure strain

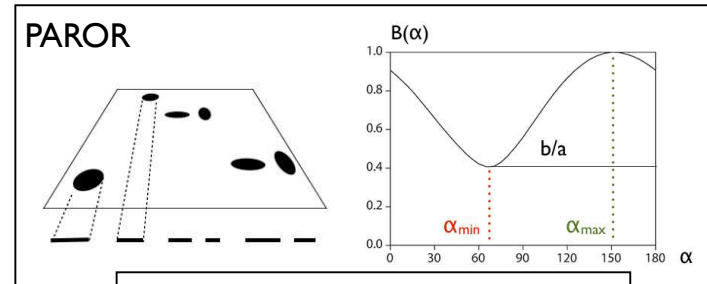
step 1: use  $R_f - \varphi$  technique



John G. Ramsay

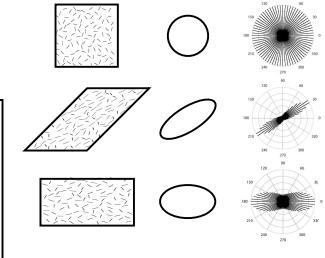
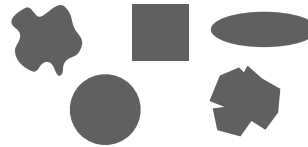


step 2: write own software



SURFOR

shape descriptors: PARIS etc.



Edwin A. Abbott



Two-dimensional strain from the orientation of lines in a plane

RENÉE PANOZZO

Abteilung für wissenschaftliche Photographie, Universität Basel, Klingelbergstr. 80, CH-4056 Basel, Switzerland

(Accepted in revised form 30 June 1983)

*Tectonophysics*, 1983

TWO-DIMENSIONAL ANALYSIS OF SHAPE-FABRIC USING PROJECTIONS OF DIGITIZED LINES IN A PLANE

RENÉE H. PANOZZO

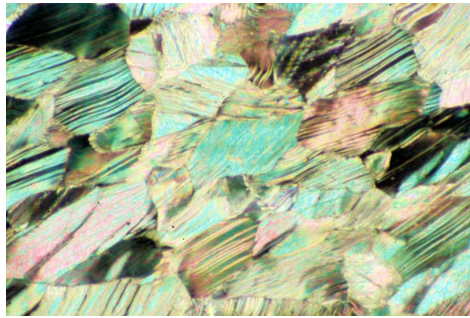
Abteilung für Wissenschaftliche Photographie, Physikalisch-Chemisches Institut, Klingelbergstr. 80, CH-4056 Basel (Switzerland)

(Accepted November 10, 1982)

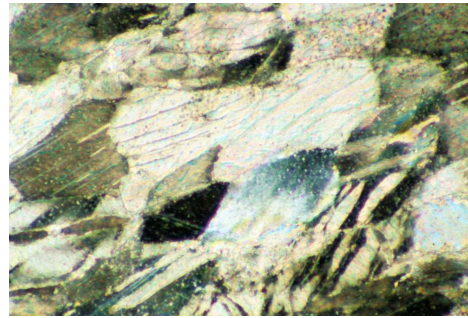
*J. Struct. Geol.*, 1984

... from polyhedra in flatland ...

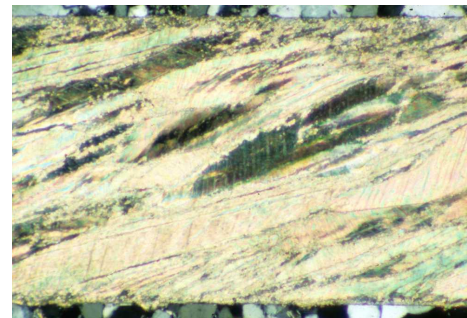
# Ramsay's $R_f$ - $\varphi$ technique works well ...



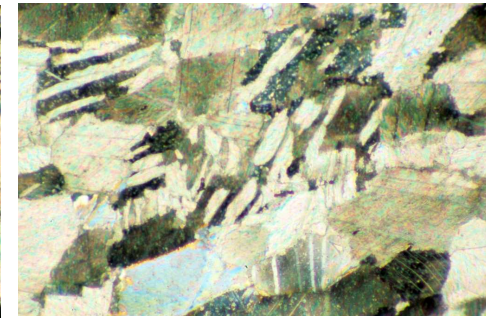
CT1 600°C 500  $\mu$ m



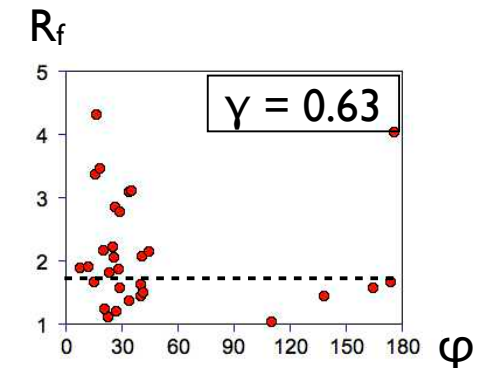
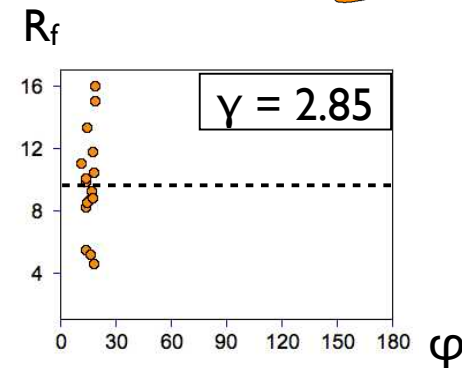
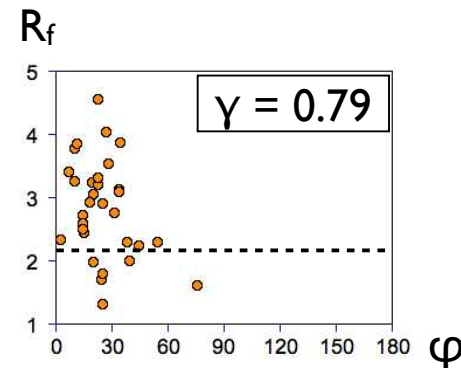
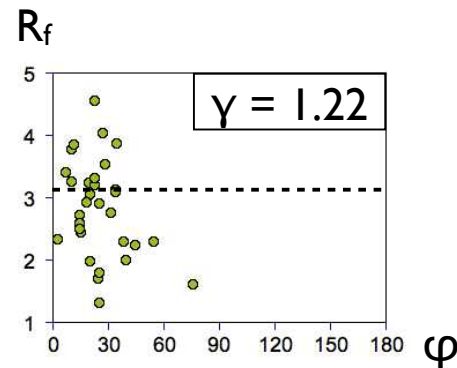
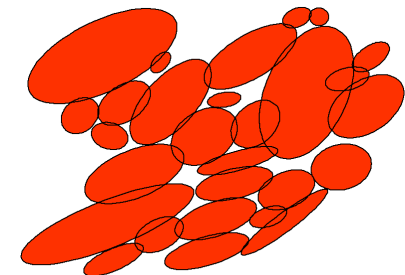
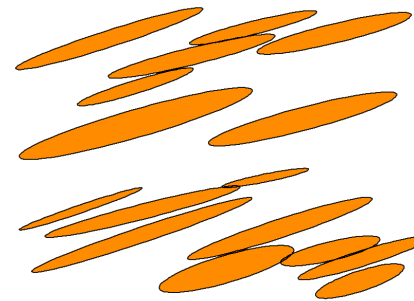
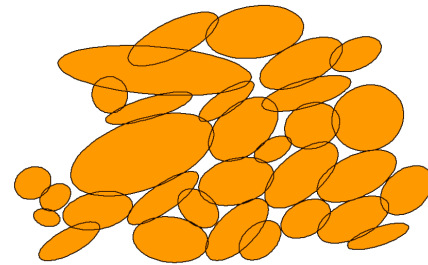
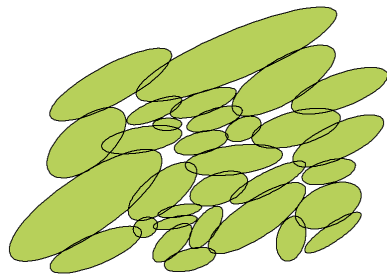
CT3 700°C



CT6 700°C



CT2 800°C

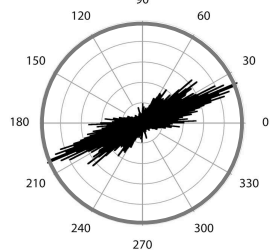
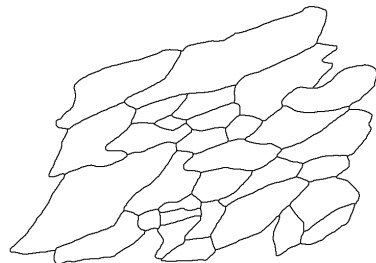
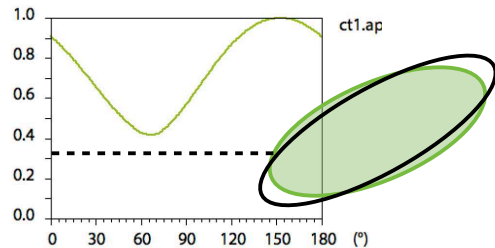
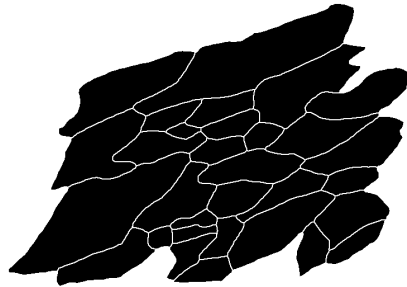


... if grain boundaries do not begin to migrate ...

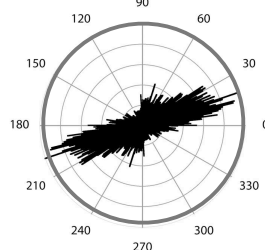
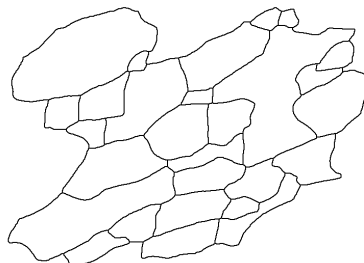
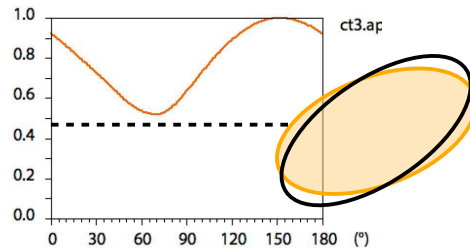
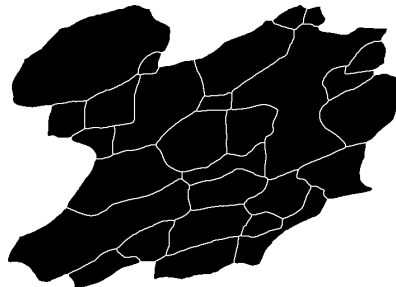


# but the world is not an ellipse ...

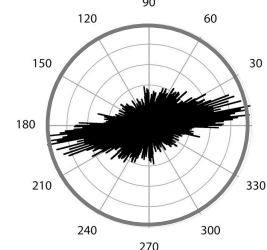
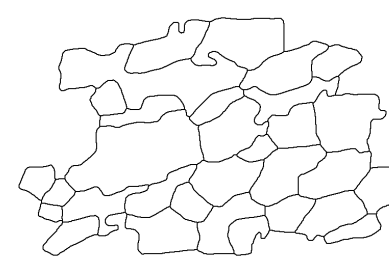
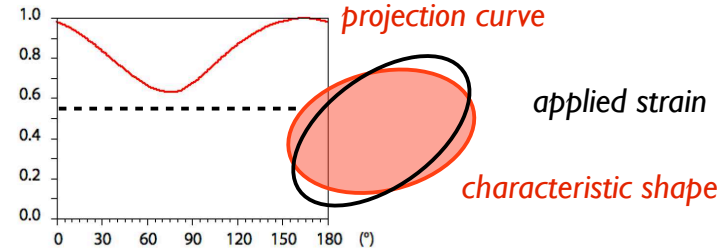
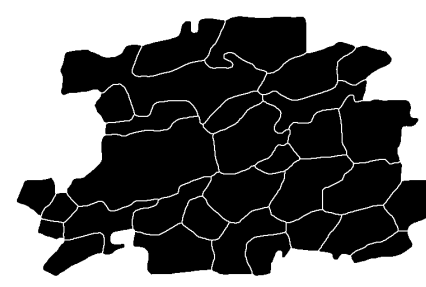
CT1 600°C



CT3 700°C



CT2 800°C



PAROR

2D areas of  
3D particles

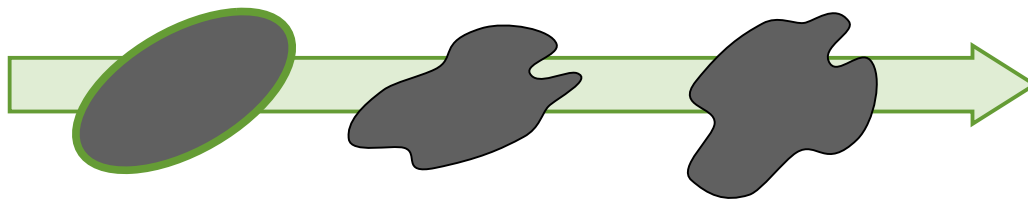
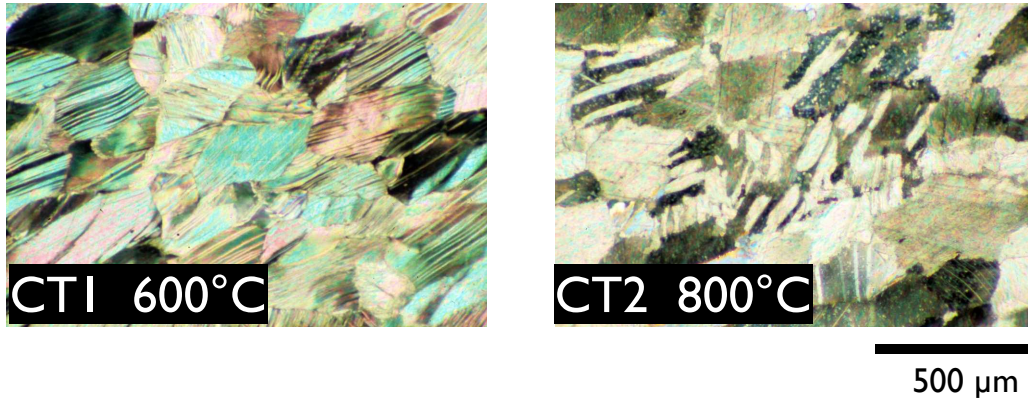
SURFOR

2D outlines of  
3D surfaces

rose diagram  
surface ODF

... and 'strain fact or fiction' is a paper that never made it

# how to describe the shape change

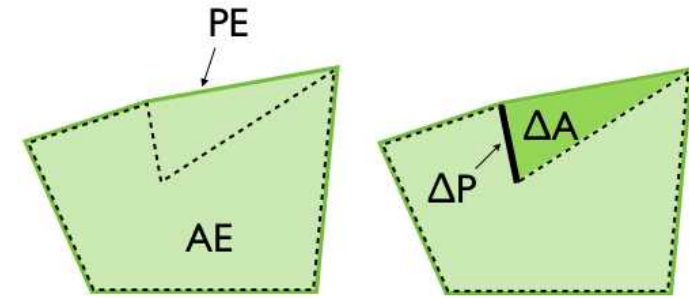


**A simple method for the quantitative discrimination of convex and convex-concave lines**  
 Renée Panozzo, and Helga Hürlimann<sup>1)</sup>

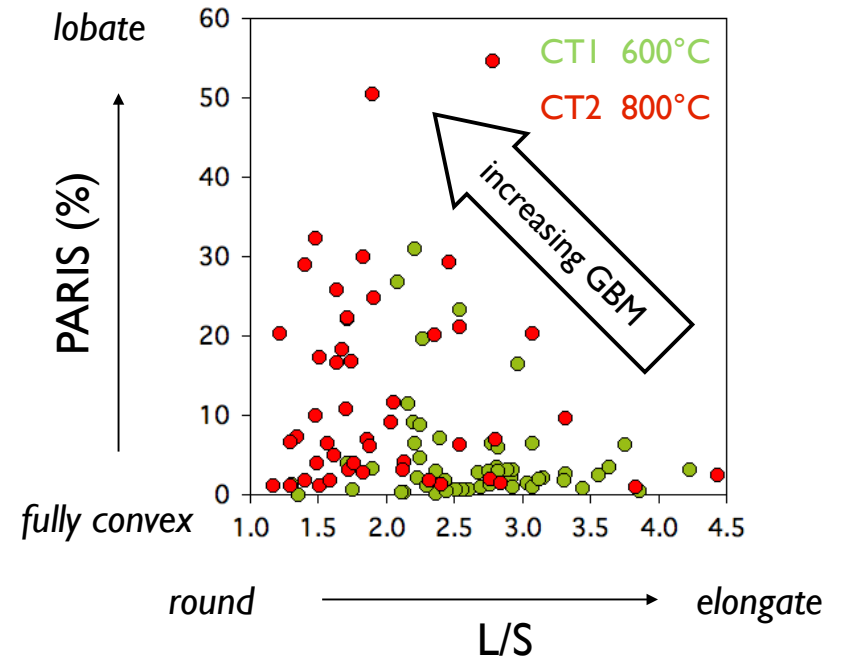
*Microscopica Acta*, 1983

**Grain size and grain shape analysis of fault rocks**  
 Renée Heilbronner \*, Nynke Keulen

*Tectonophysics* 2006



the convex hull

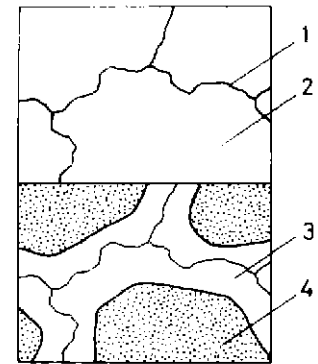


... use the famous PARIS factor

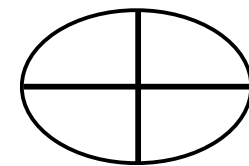
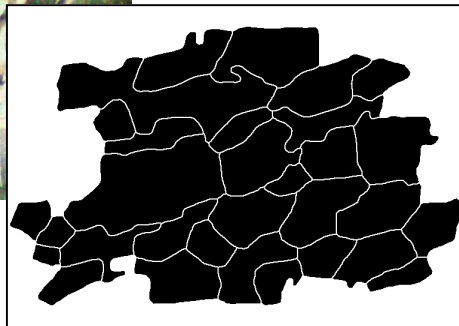
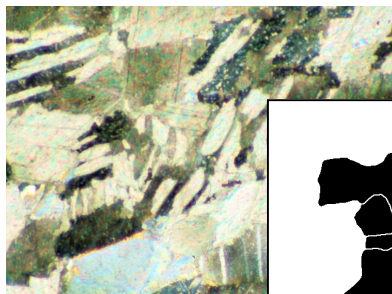


# ... so what does that tell you about real rocks ?

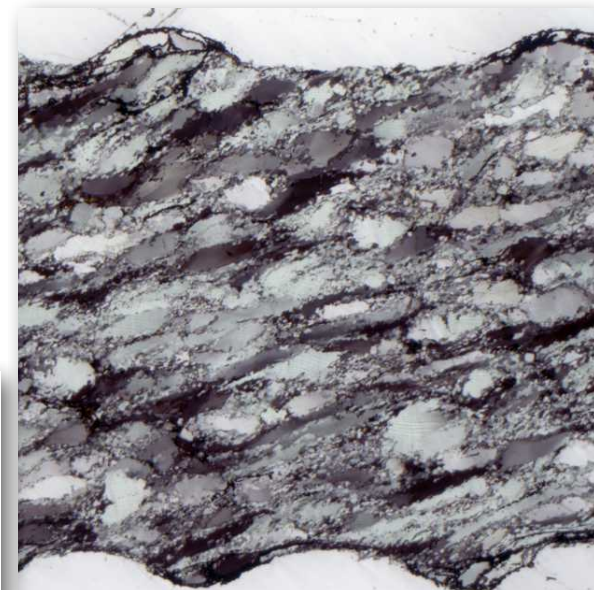
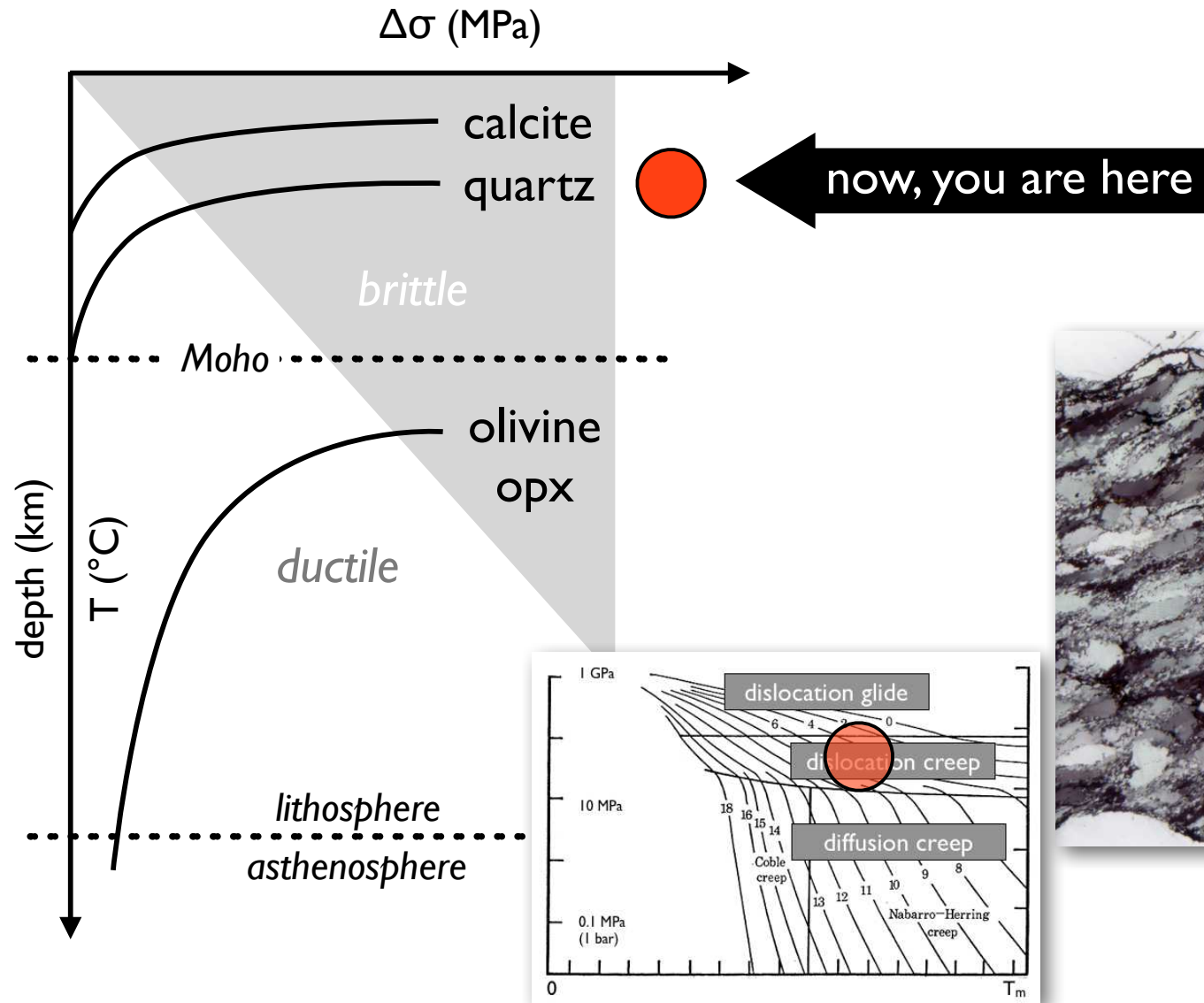
- grain boundaries lead there own life  
not every outline of a grain is a strain marker
- one mineral can act like two rheological phases  
strain partitioning is possible - even probable
- locally high strain rates are possible
- symmetry is the eye of the needle ...



Schmid et al., JSG 1987

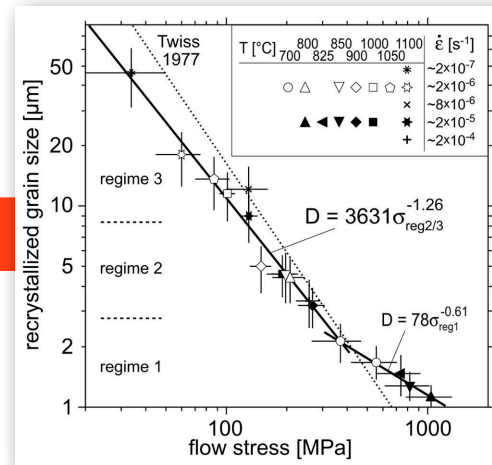


# 2nd stop:



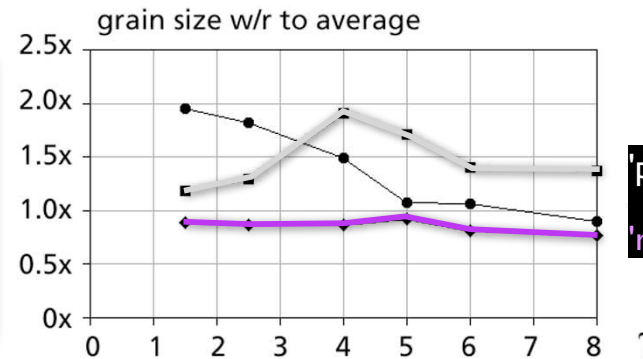


# motivation: the grain size of recrystallized quartz

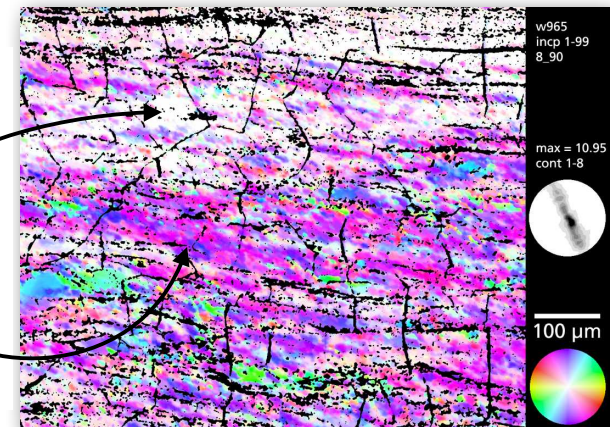


the piezometer - does it hold for shear deformation ?

"...the recrystallized grain size of the rhomb domain is approx. 12 μm and that of the prism domain is approx. 19 μm, corresponding to shear stresses of 93 and 64 MPa..."



'prism'  
'rhomb'



texture dependence of grain size: does it really exist ?

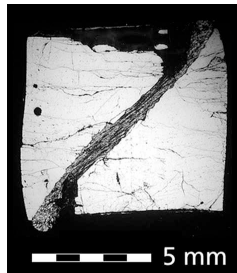
# axial and shear experiments ...



Jan Tullis and ...

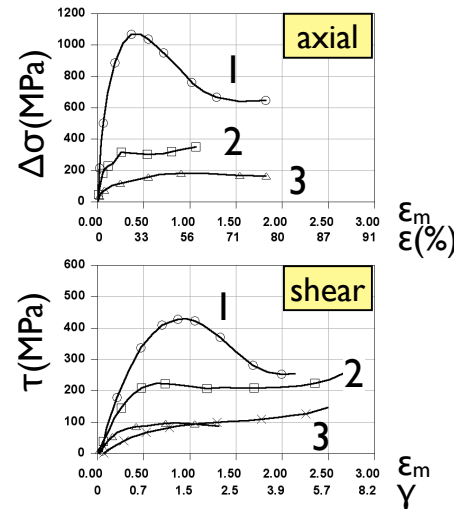


her Grigg's apparatus

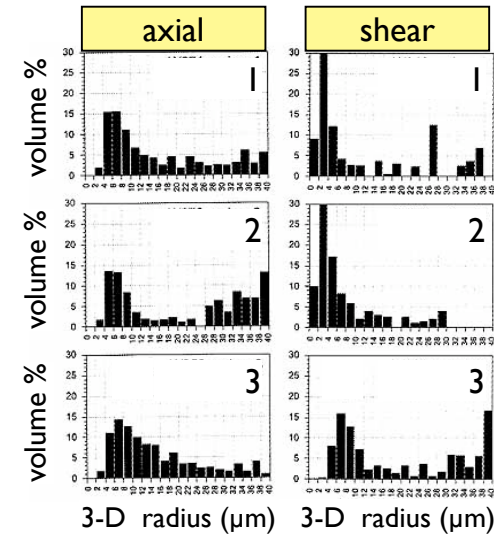


Heilbronner & Tullis (2006)

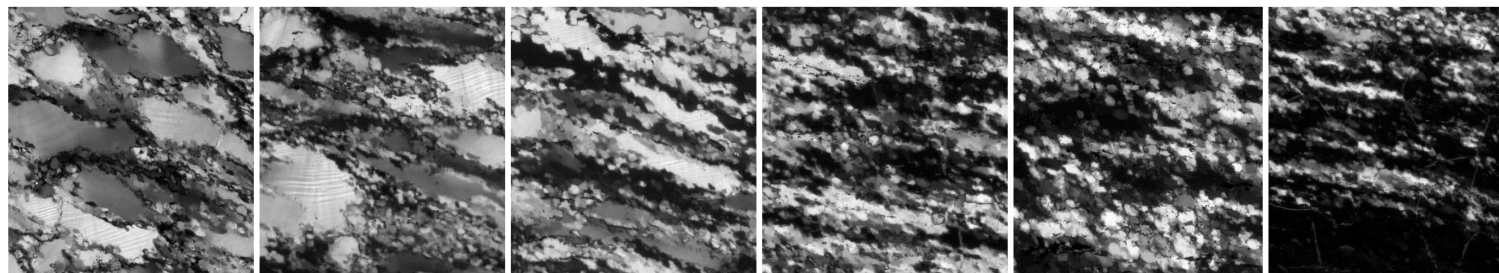
dislocation creep regimes 1, 2, 3



Heilbronner & Tullis (2002)



	estimated mode of 3-D diameter (μm)	
	axial	shear
1	12 μm	6 μm
2	12 μm	6 μm
3	14 μm	14 μm

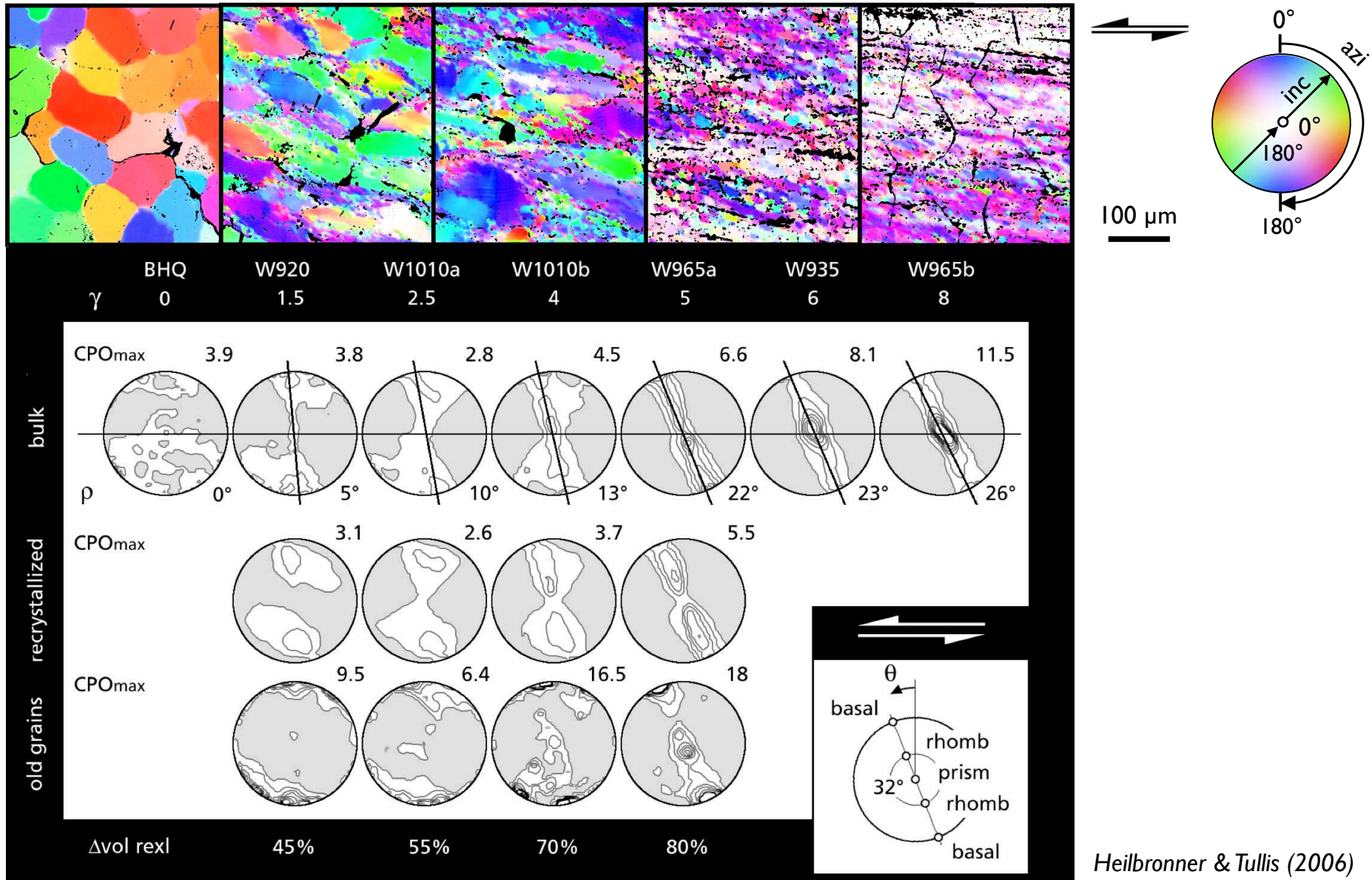


circular polarization

... of Black Hills Quartzite ...

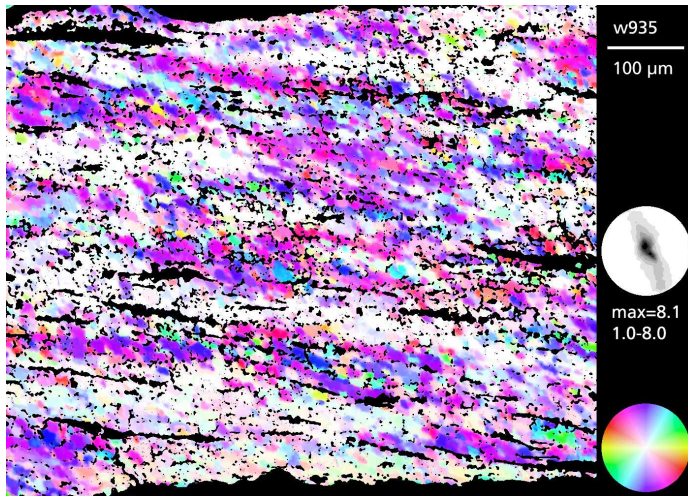


we used CIP-derived orientation images



... to derive the c-axis pole figures

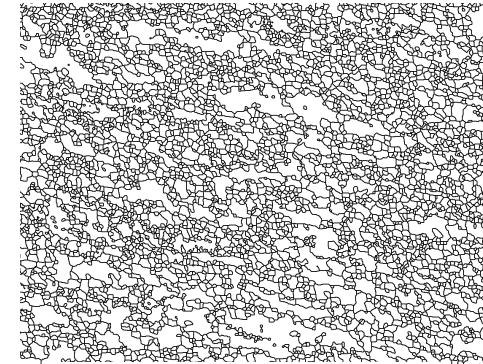
and performed segmentation in texture space ...



c-axis orientation image

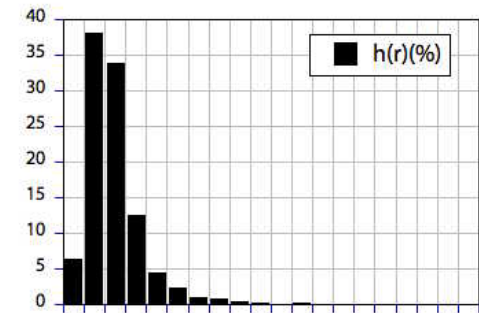
same technique was used for piezometer

Lazy Grain Boundaries



grain boundary map

Image SXM Analyze



area equivalent radius (μm)

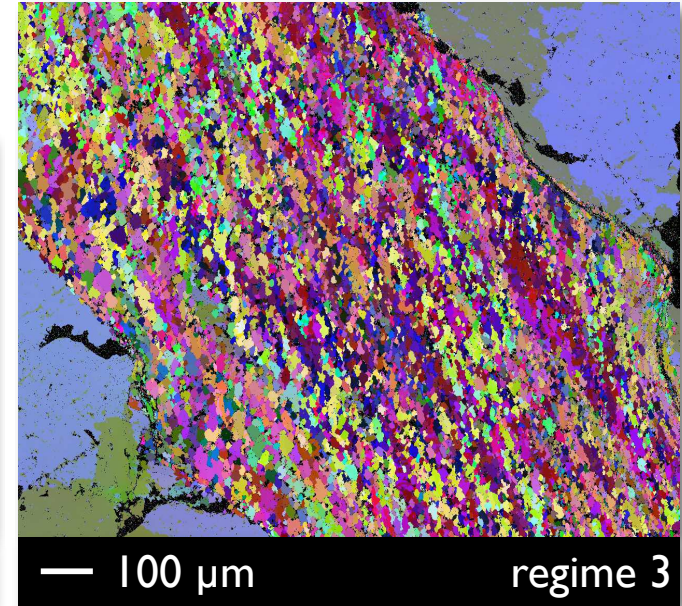
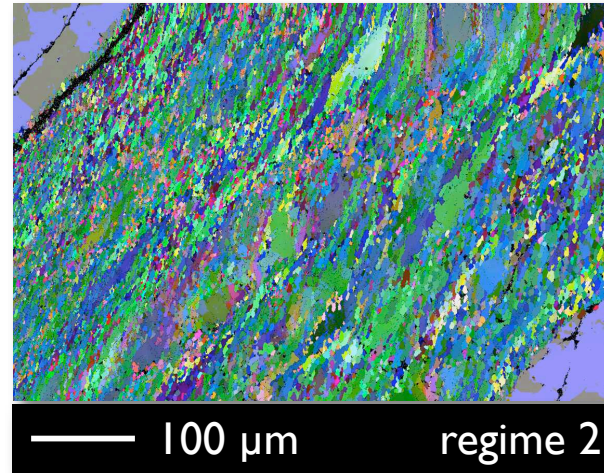
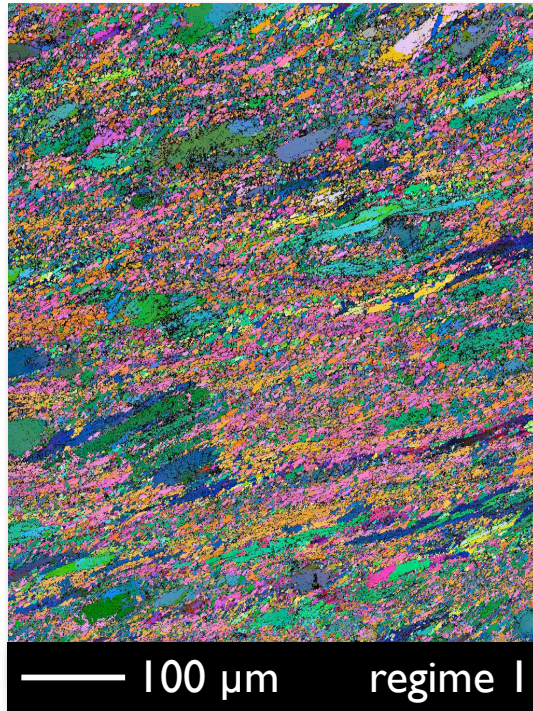
Kaleidagraph

	r equ (μm)
Points	3605
Mean	4.86
Median	4.24
RMS	5.68

... to derive the grain size



# ten years later: BHQ revisited



**The grain size(s) of Black Hills Quartzite deformed in the dislocation creep regime.**

Renée Heilbronner<sup>1</sup> and Rüdiger Kilian<sup>1</sup>

<sup>1</sup> Department of Environmental Sciences Geological Institute Bernoulli

Correspondence to: Renée Heilbronner (renee.heilbronner@unibas.ch)

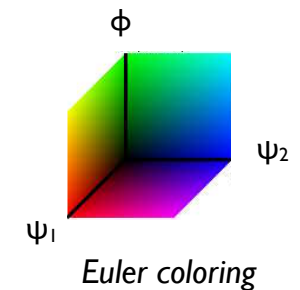


**Analysis of crystallographic preferred orientations of experimentally deformed Black Hills Quartzite**

Rüdiger Kilian<sup>1</sup> and Renée Heilbronner<sup>1</sup>

<sup>1</sup> Department of Environmental Sciences Geological Institute Bernoullistrasse 32 CH-4056 Basel Switzerland

Correspondence to: Rüdiger Kilian (ruediger.kilian@unibas.ch)

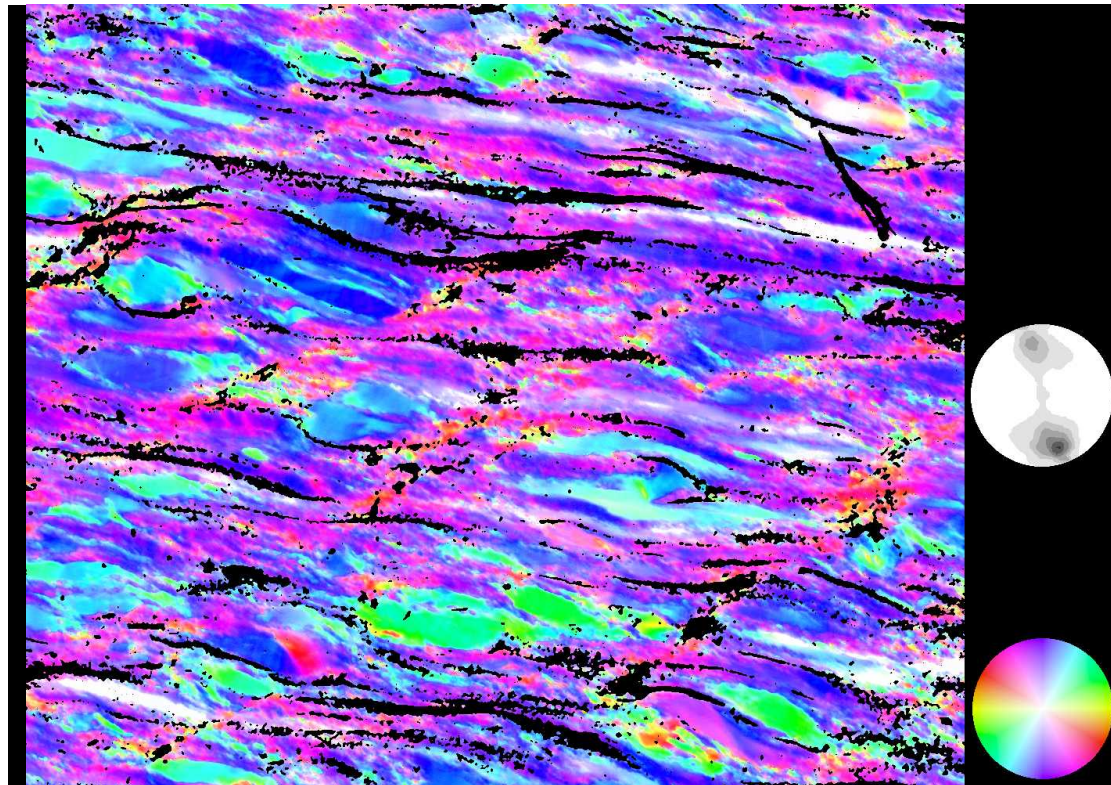


... remeasured with increased EBSD resolution ...



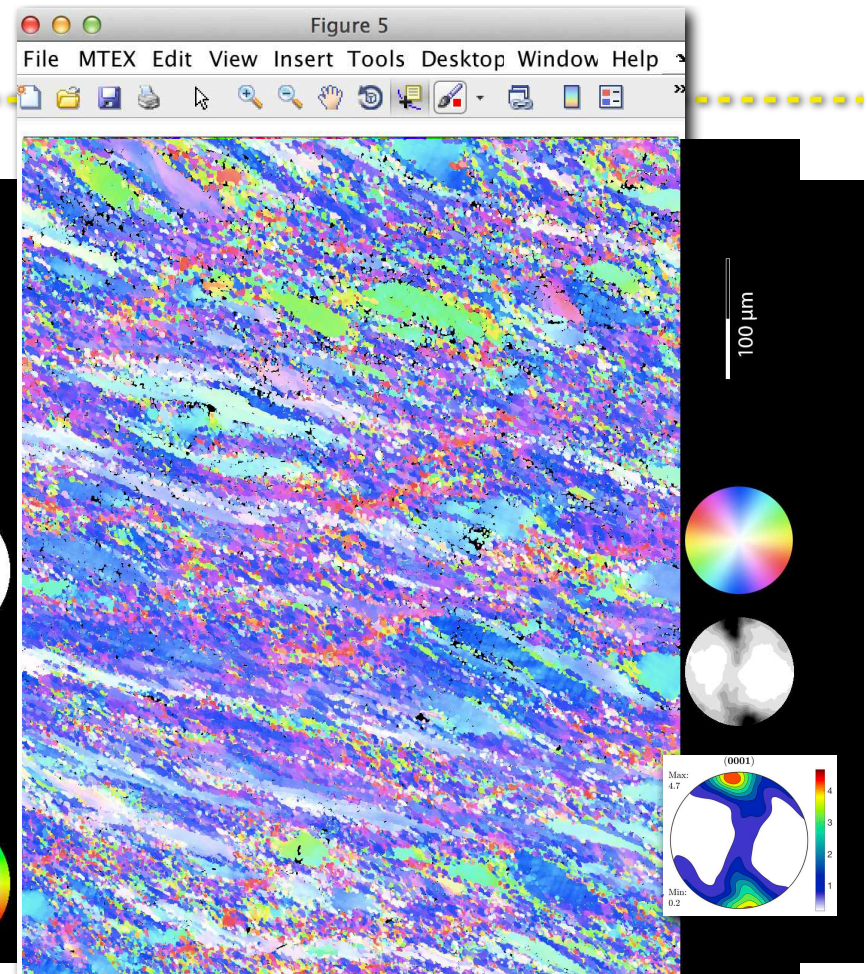
# from CIP to EBSD

regime I (w1092)



100 μm

CIP



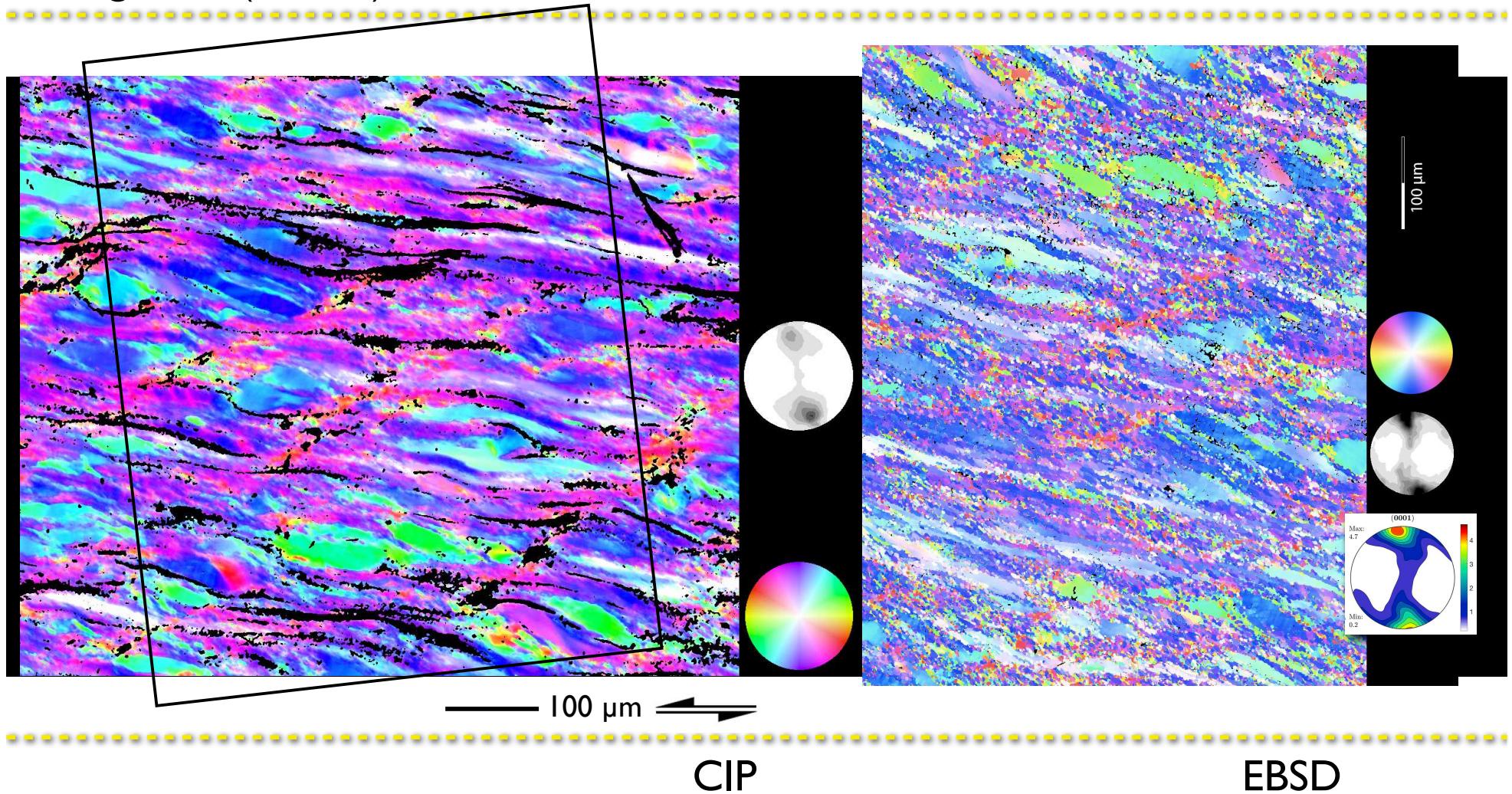
EBSD

from IPF to CIP look-up tables



# from CIP to EBSD

regime I (wI092)

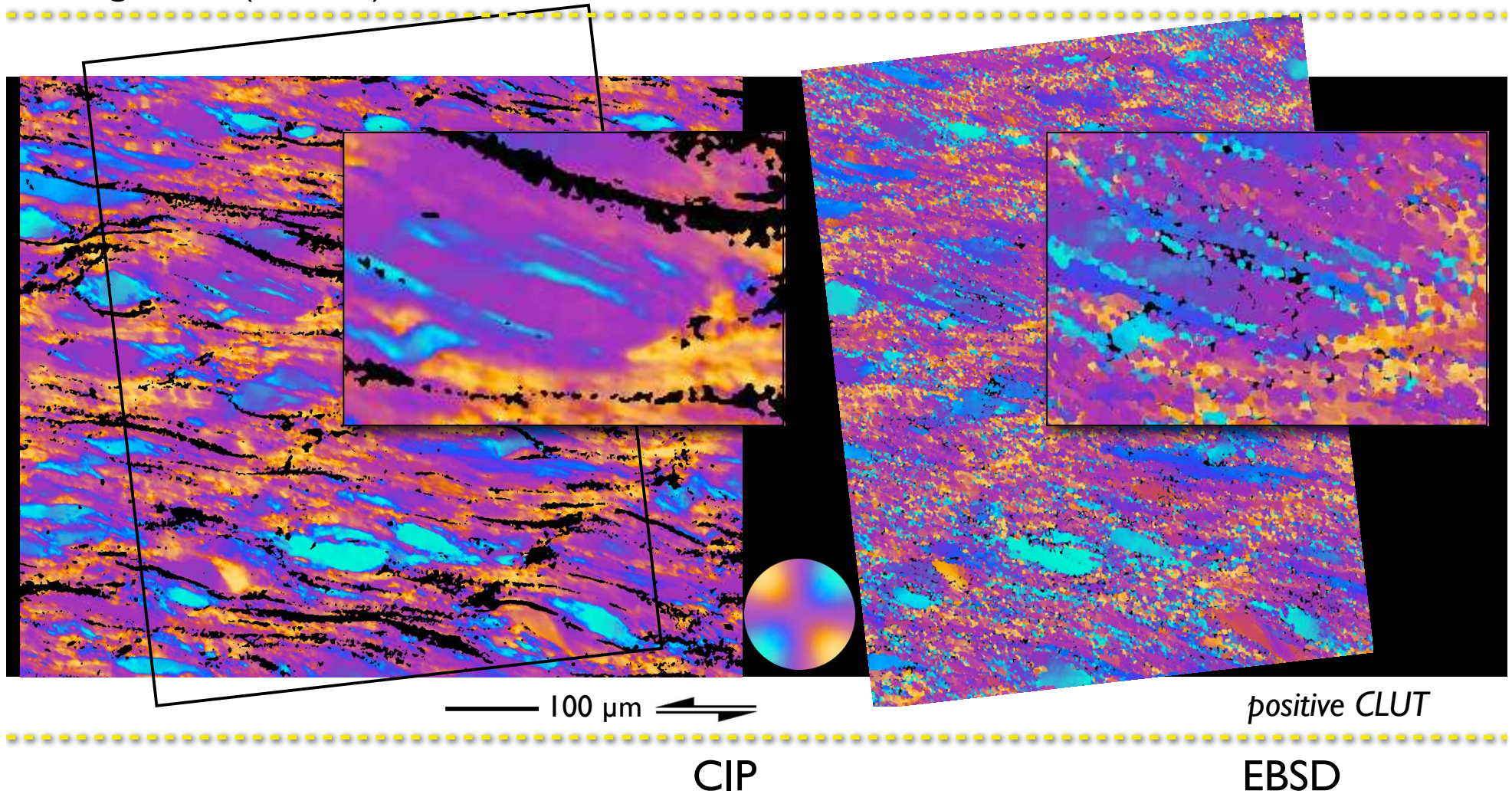


from IPF to CIP look-up tables



# compare EBSD to optical microscopy

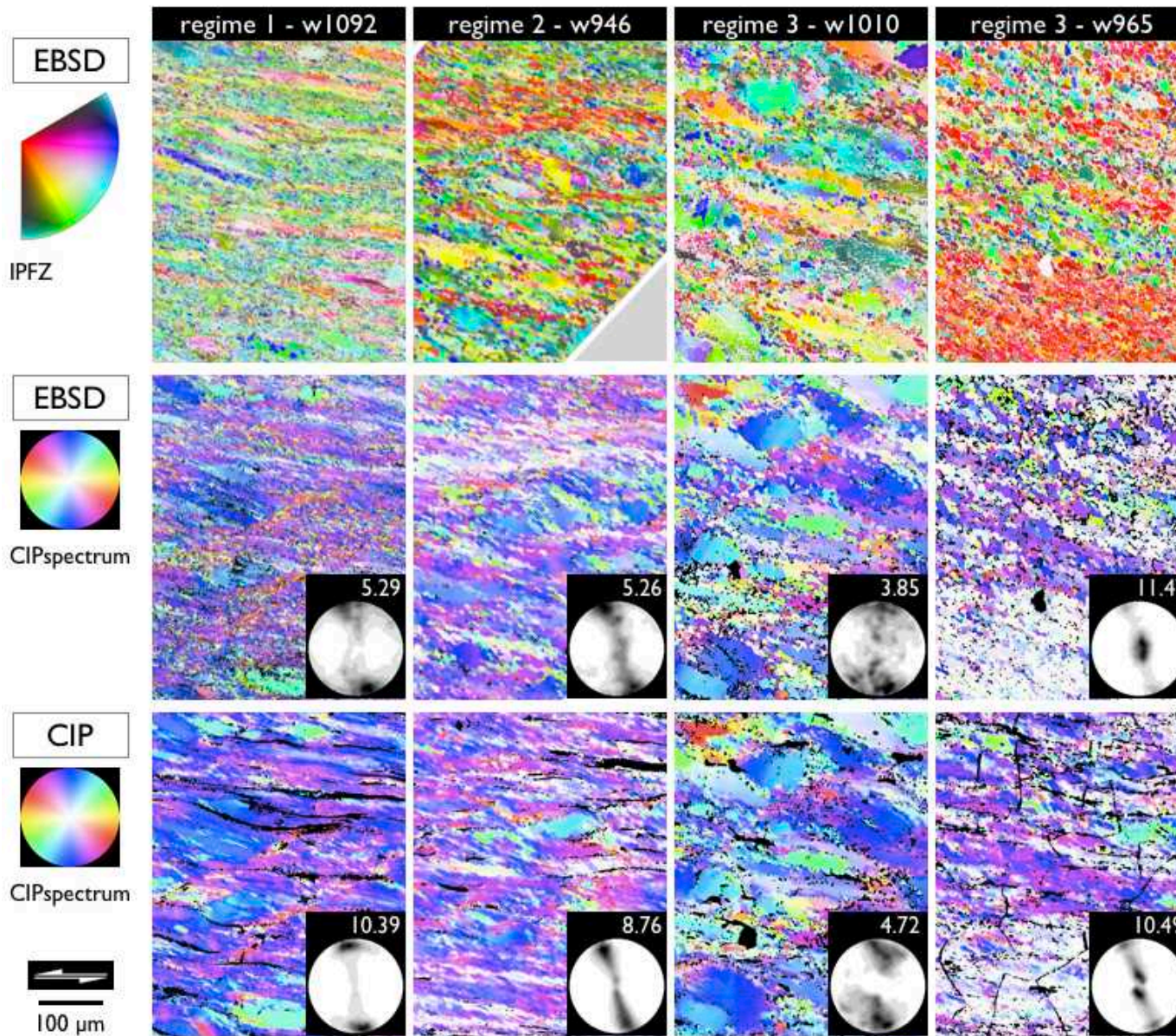
regime I (w1092)



... using the conoscopic CLUT



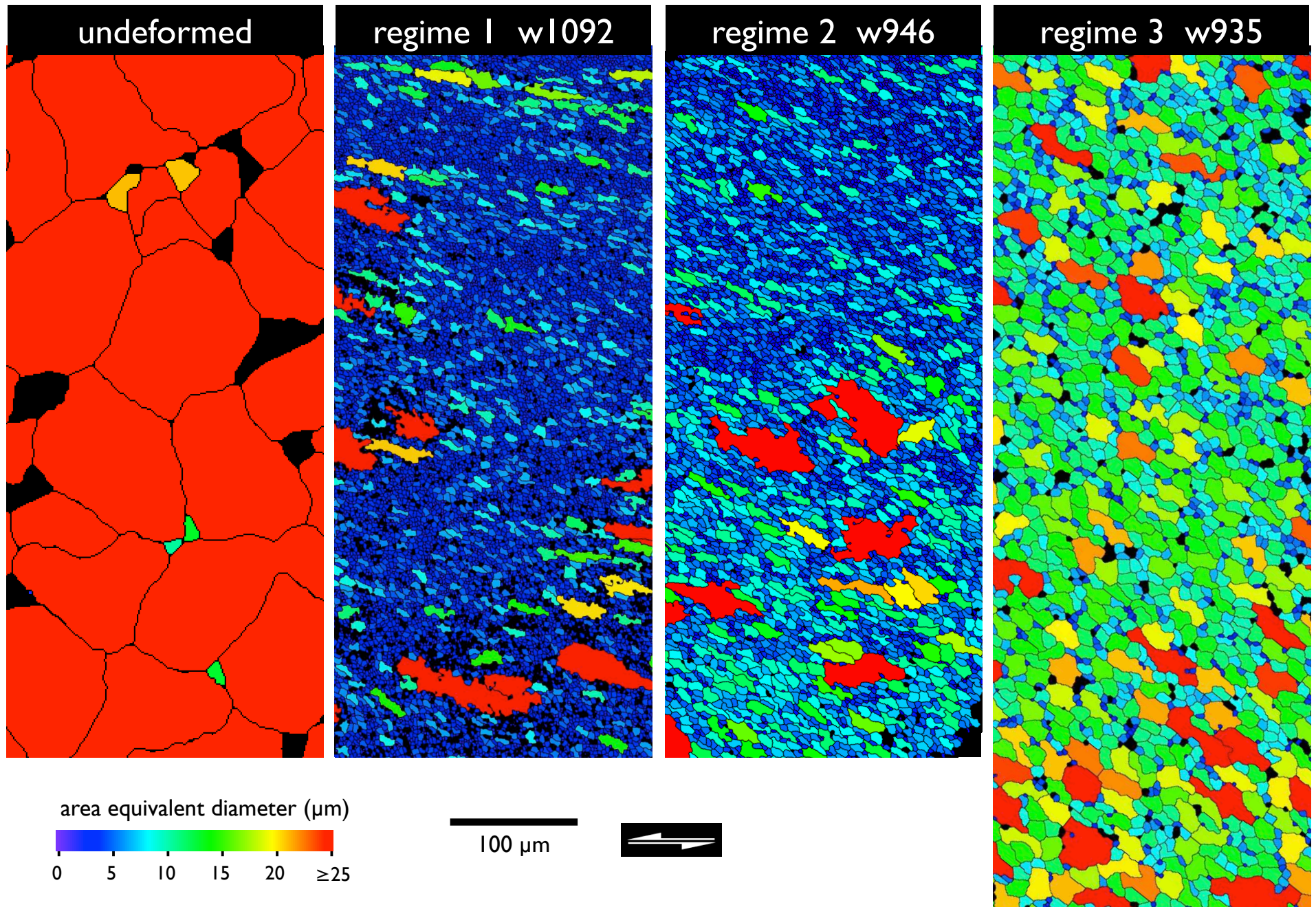
# texture and grain size of BHQ ... revisited



... using SEM / EBSD full resolution !

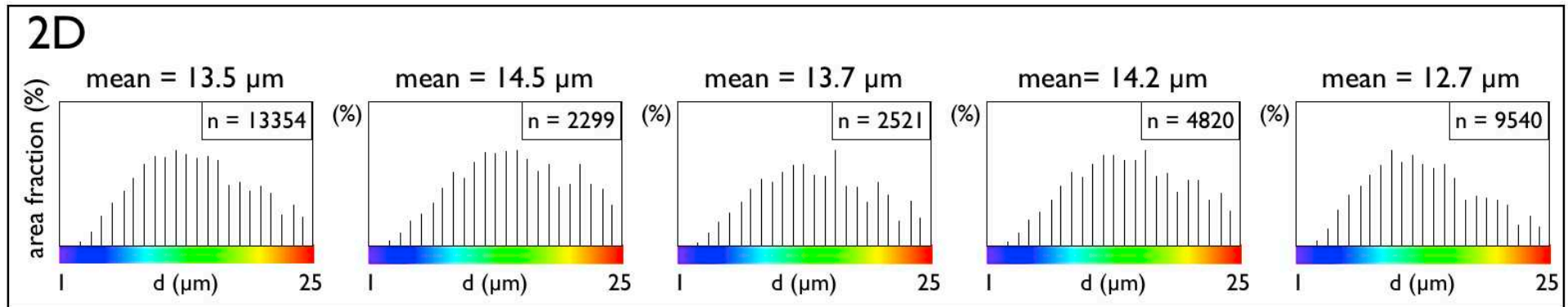
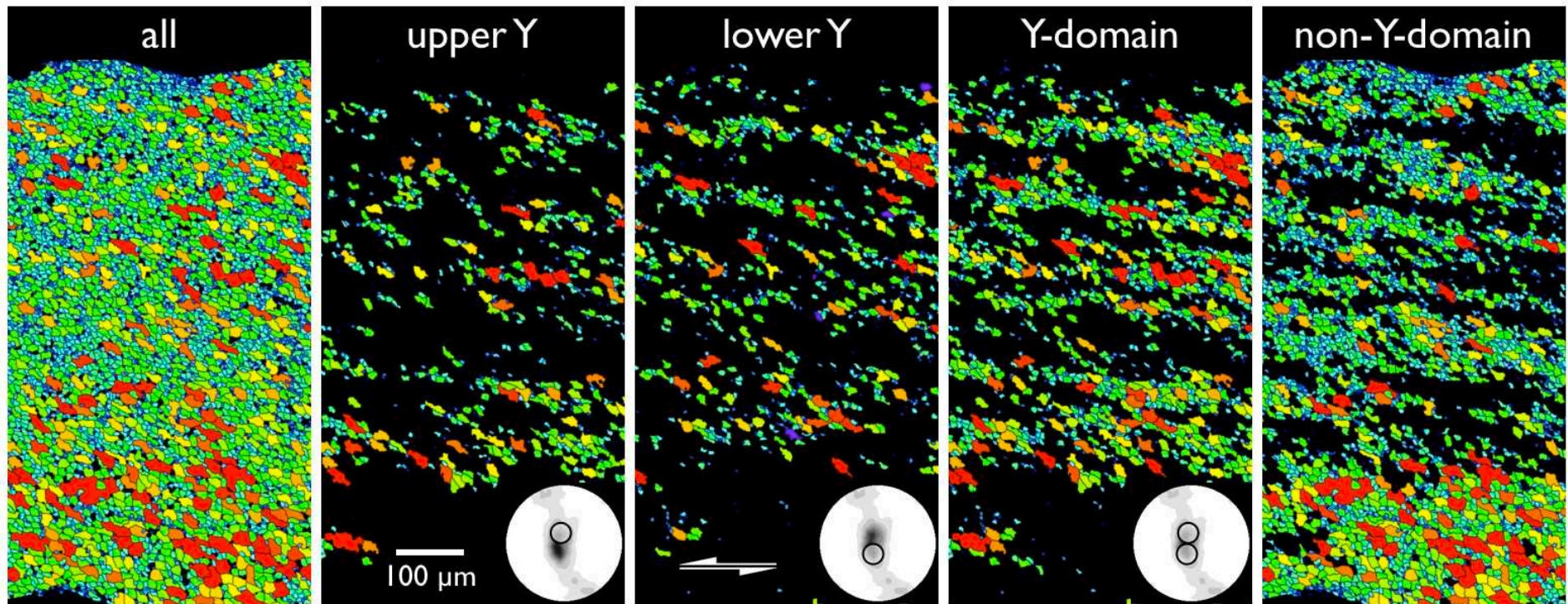


# grain size maps



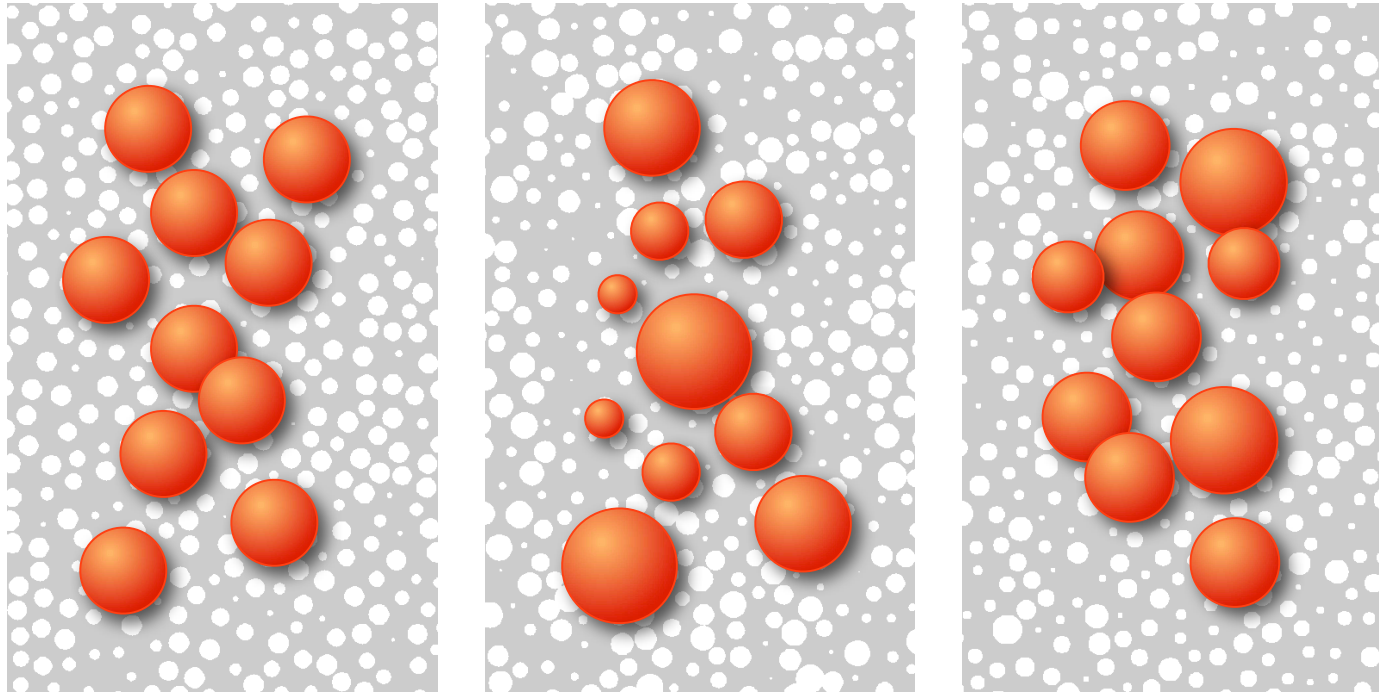


# checking the Y domain

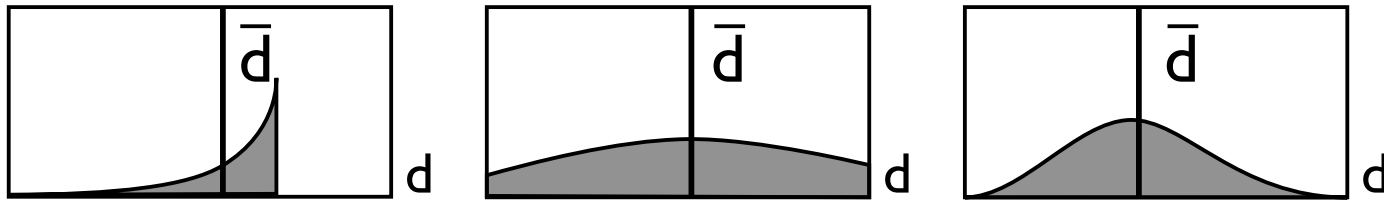


... and the subdomains (...)

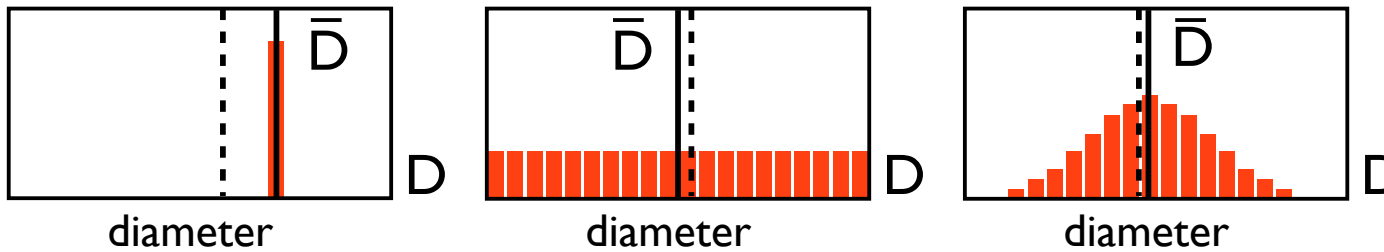
... what do 2D means tell us about 3D means ?



h(%) sections



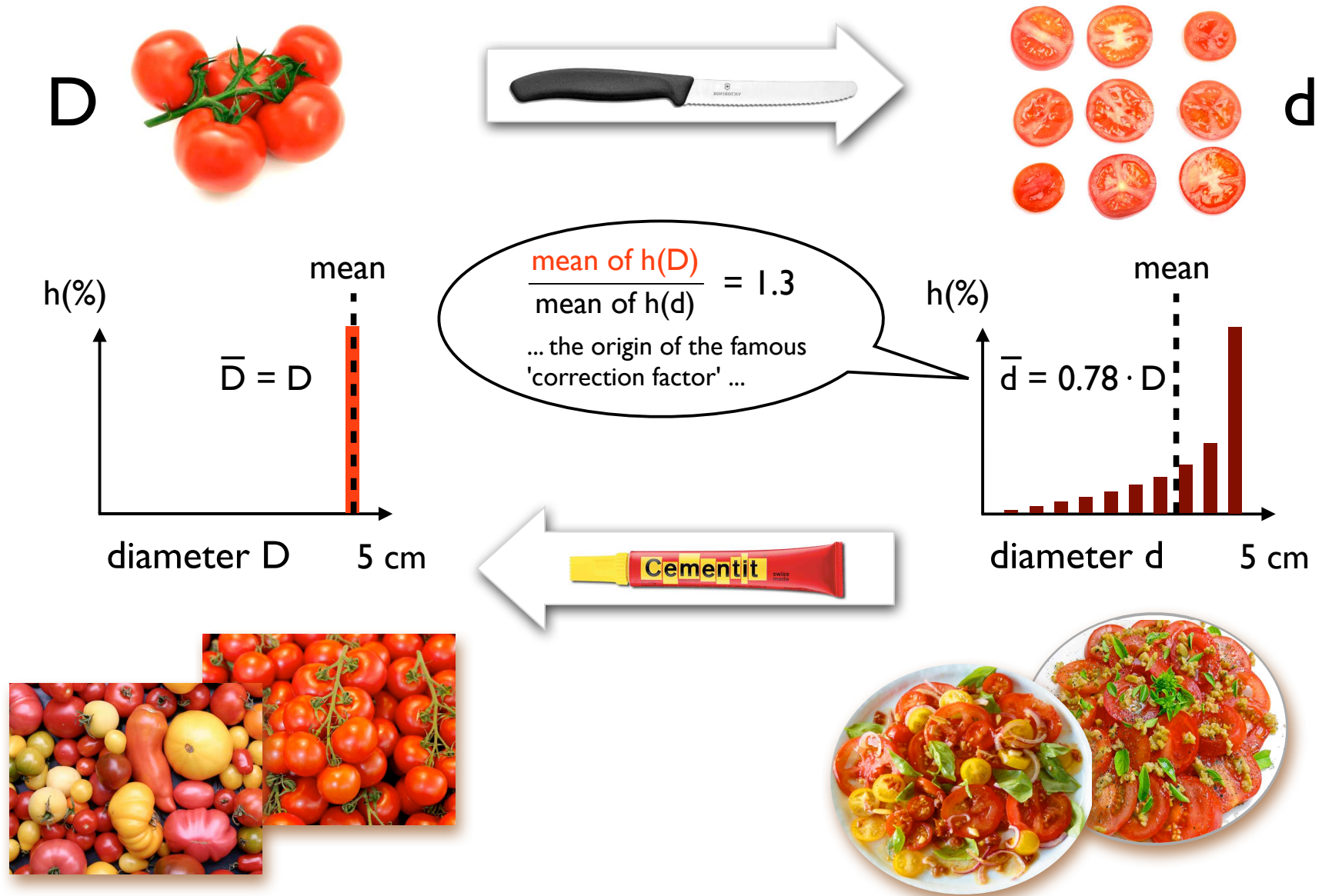
h(%) spheres



... nothing !

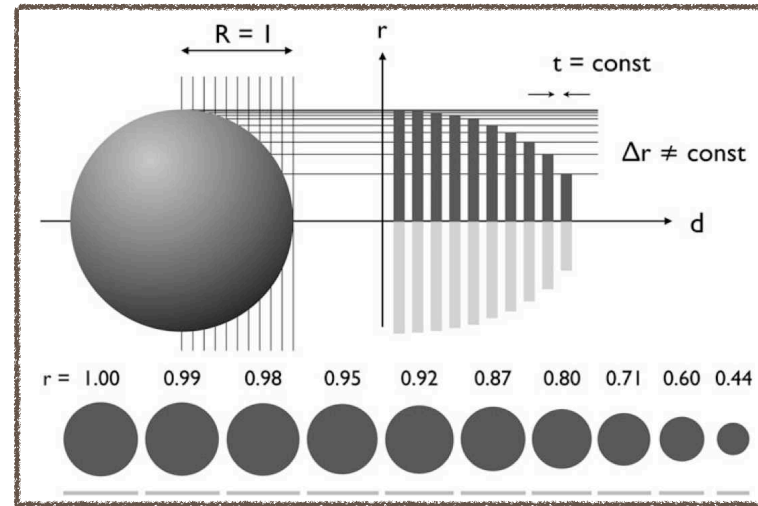
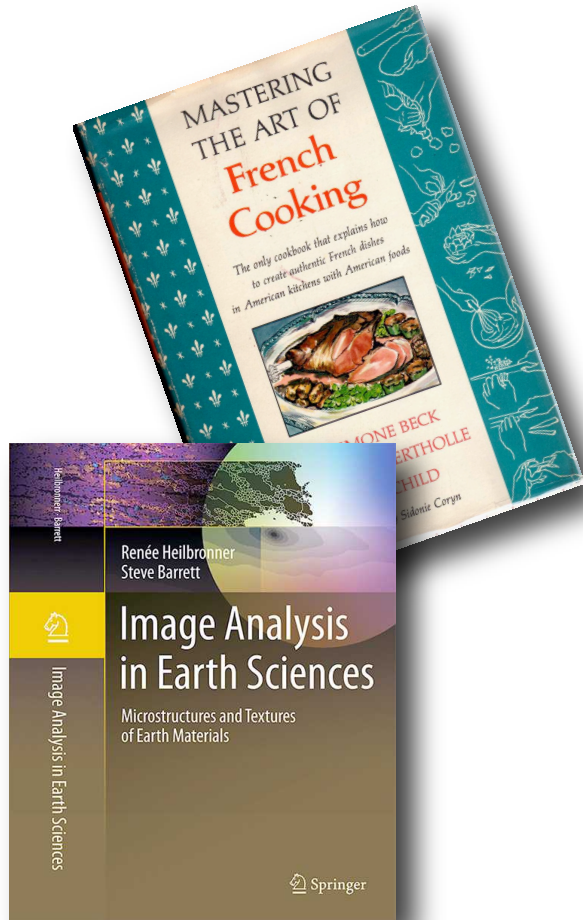


# so what is an average diameter really ?



... 2D or 3D diameters ? means? or modes ?

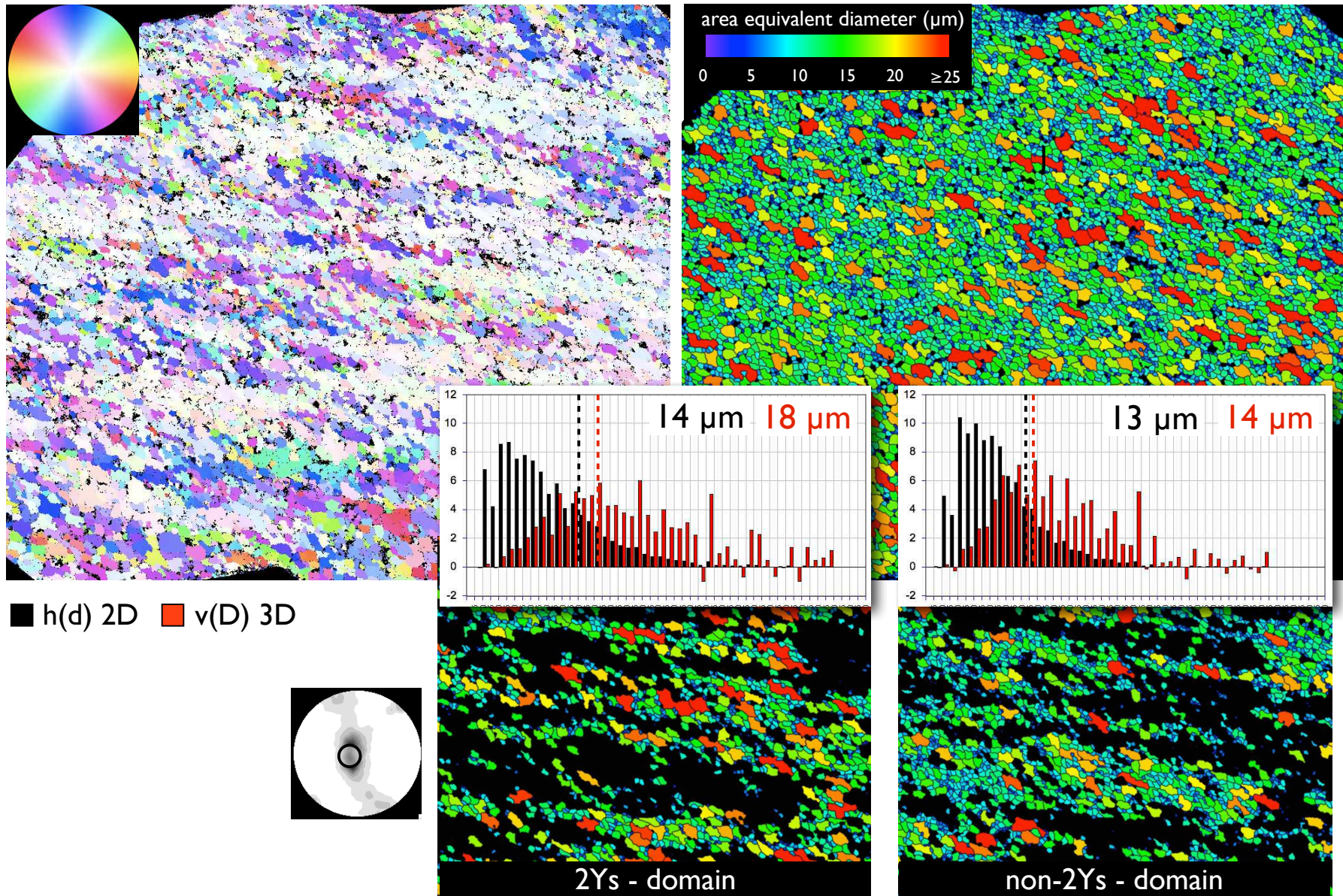
# we need the full (3D) picture



## ... mastering the art of Image Analysis in Earth Sciences



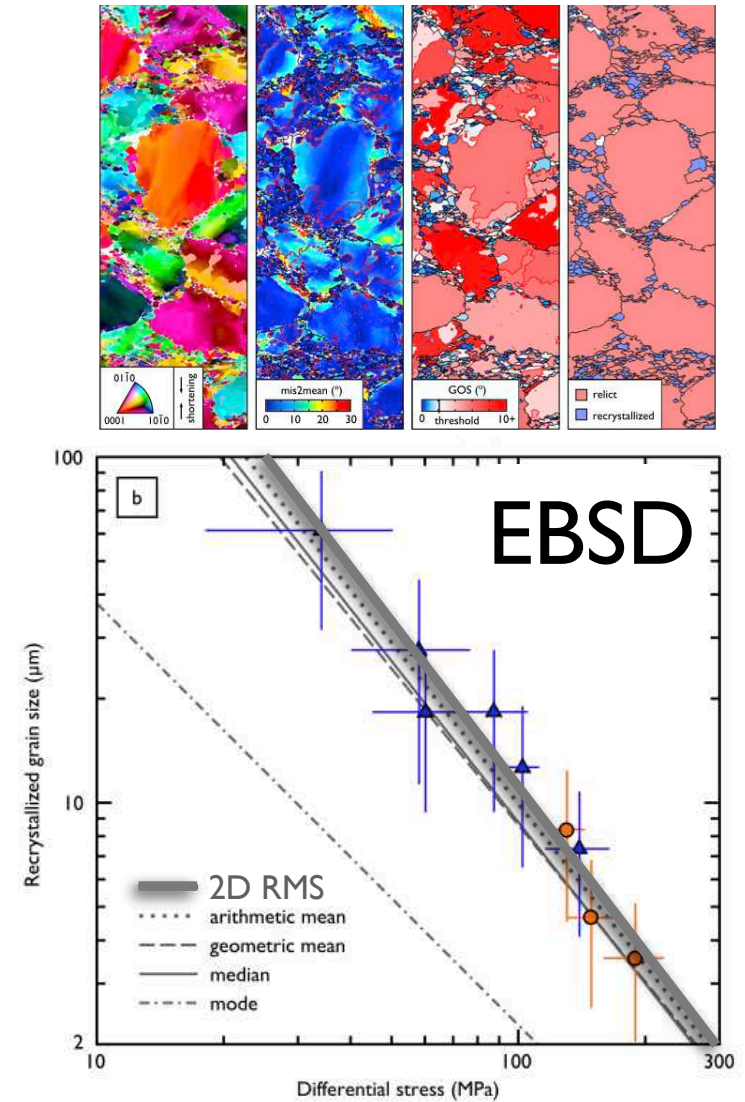
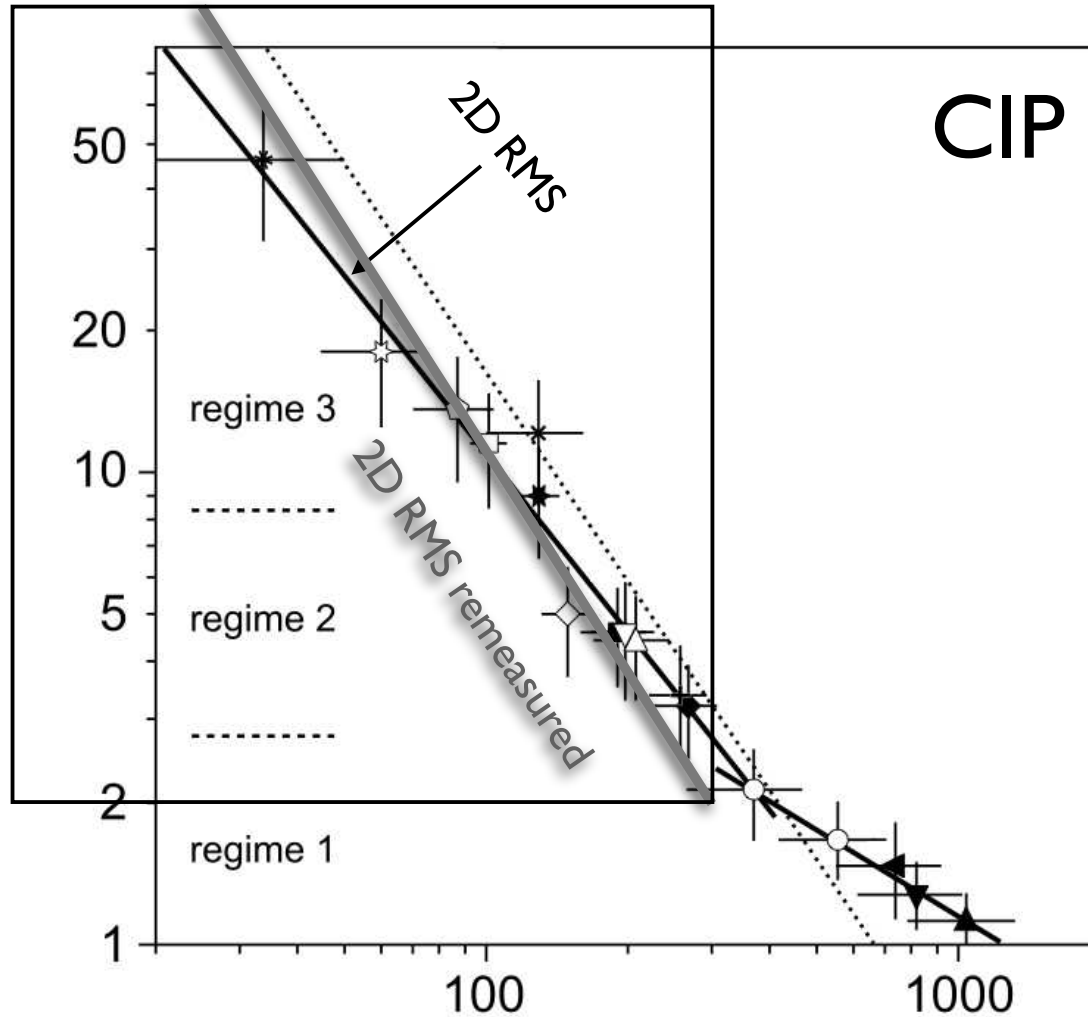
# mode of the volume weighted distribution ...



... of diameters ( $D_{\text{equ}}$ ) of volume equivalent spheres ...



check against the piezometer !



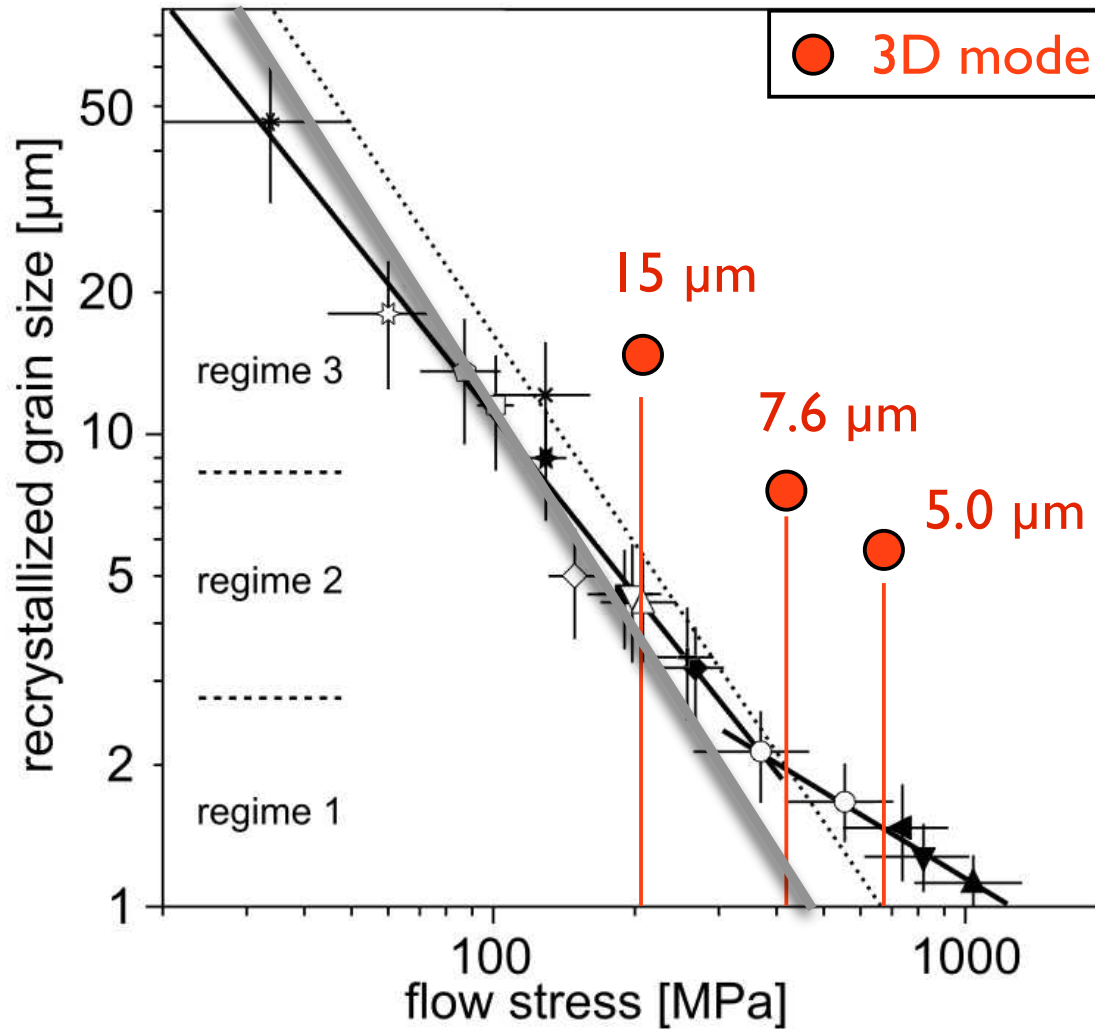
*Cross et al., 2017*

... 'good old' Stipp & Tullis, remapped by Prior ...



# plot the shear experiments on it

quartz piezometer

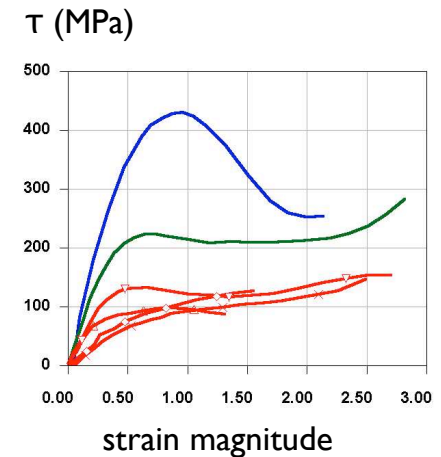


CIP grain boundaries  
RMS of 2D sections

*Stipp & Tullis (2003)*

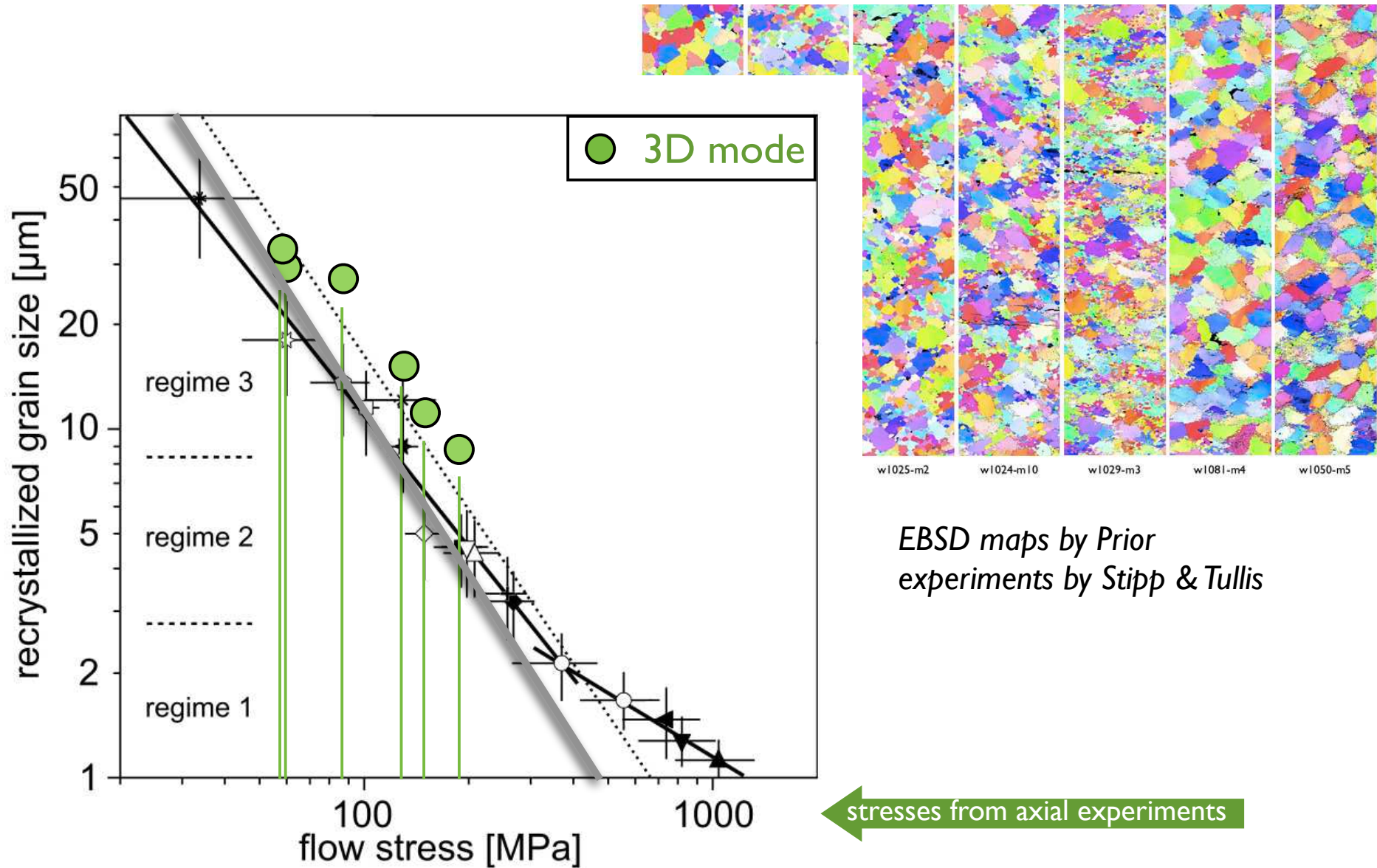
EBSD grain boundaries  
mode of 3D grains

*Heilbronner & Tullis (2002, 2006)*  
*re-measured*



... 3D modes are not the same as 2D RMS !

# get the EBSD maps of the axial experiments



*EBSD maps by Prior experiments by Stipp & Tullis*

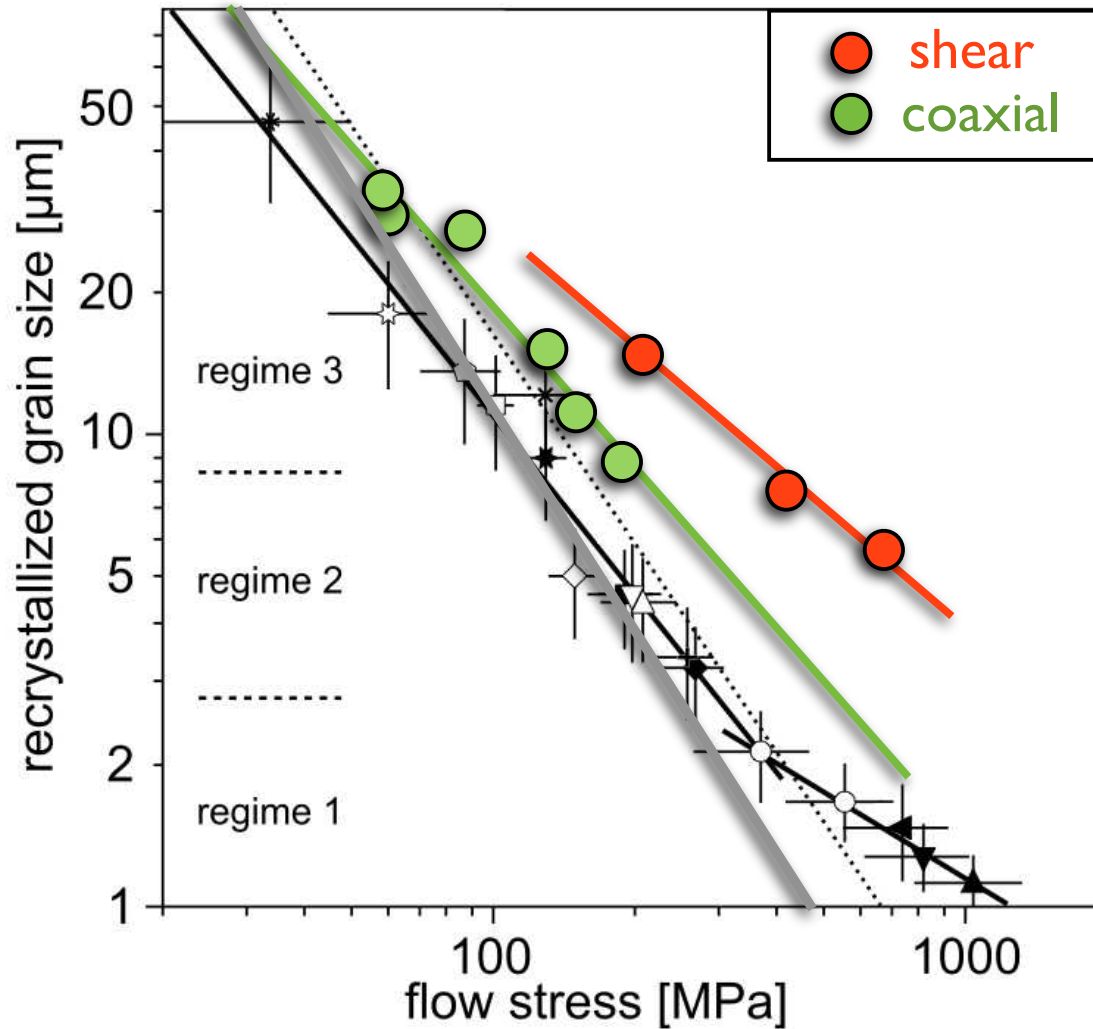
stresses from axial experiments

... and calculate the 3D modes for the piezometer



# apples and ... different apples

quartz piezometer



published piezometer  
 $d(\mu\text{m}) = 3631 \Delta\sigma^{-1.26}$   
 $(\mu\text{m}) = 8128 \Delta\sigma^{-1.41}$

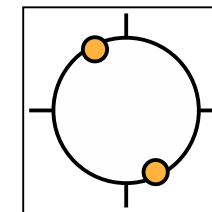
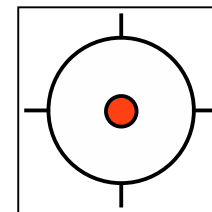
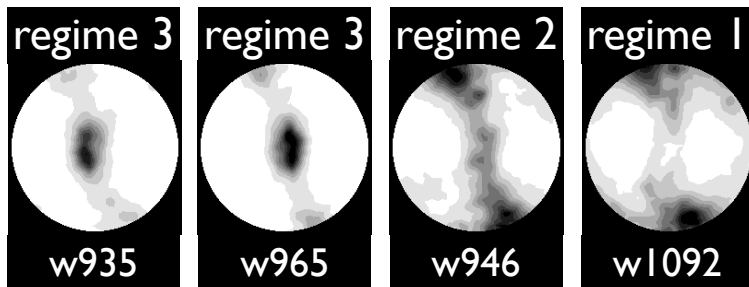
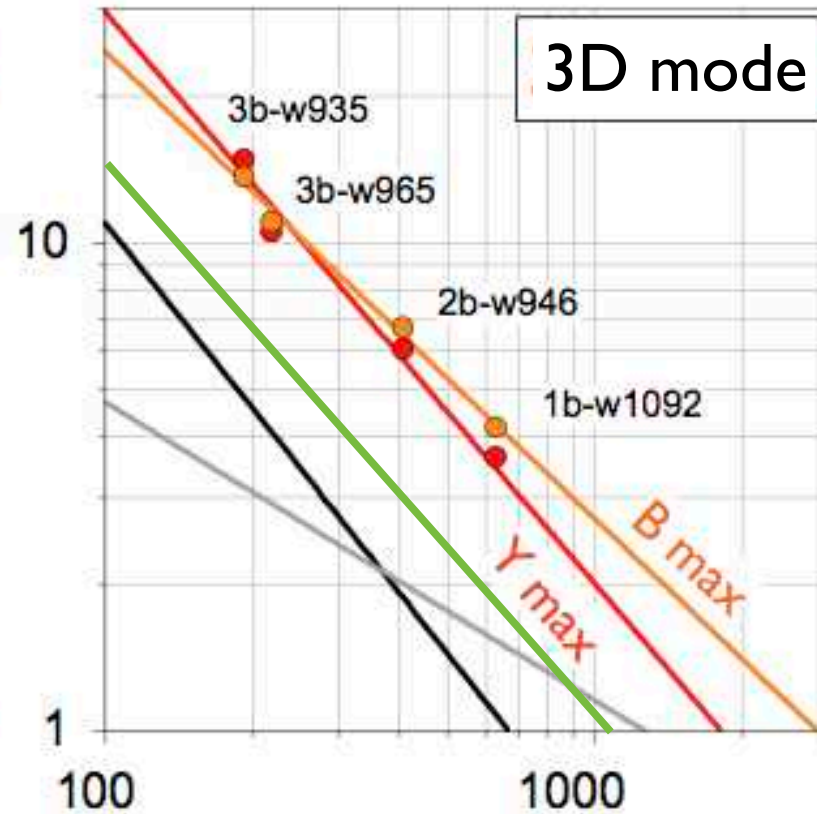
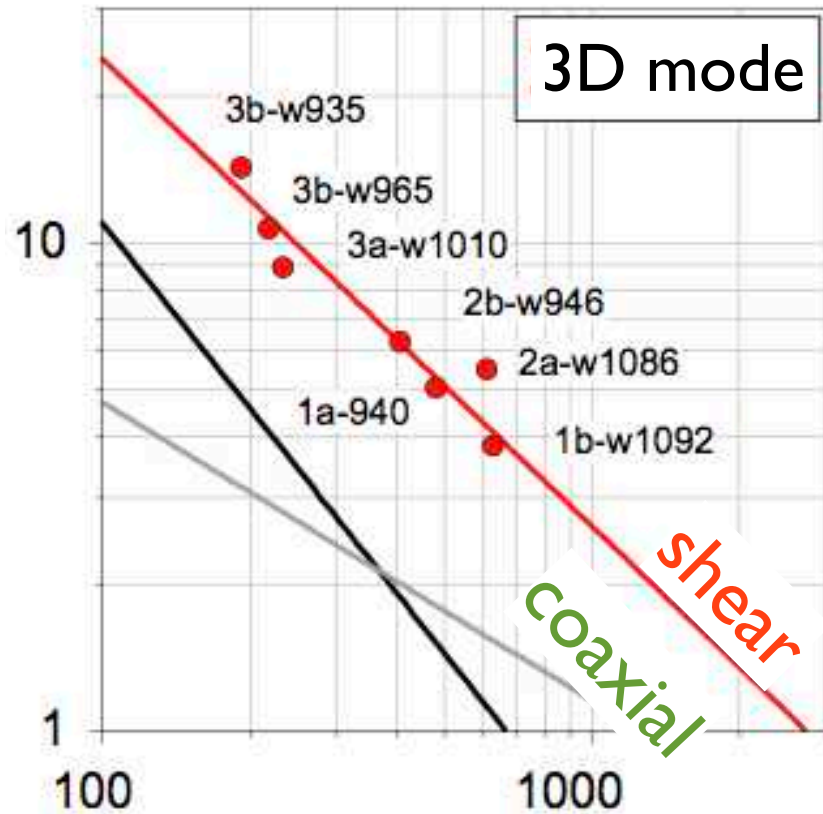
using 3D modes

coaxial (piezometer)  
 $D(\mu\text{m}) = 3325 \Delta\sigma^{-1.13}$

shear  
 $D(\mu\text{m}) = 1473 \Delta\sigma^{-0.86}$

... you ask a silly question you get a silly answer ...

# different piezometer for shear vs. axial

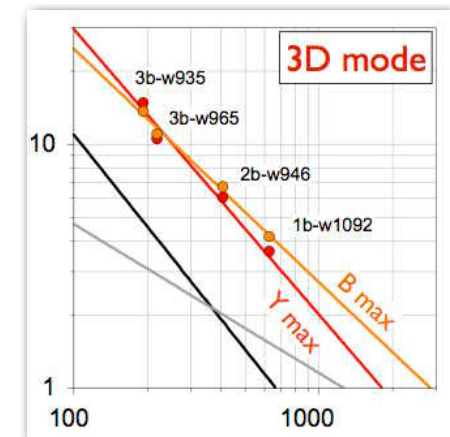
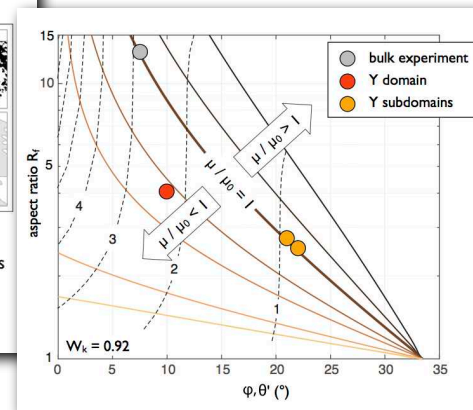
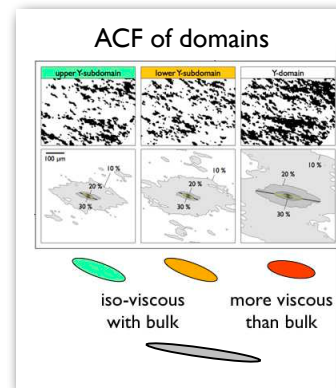
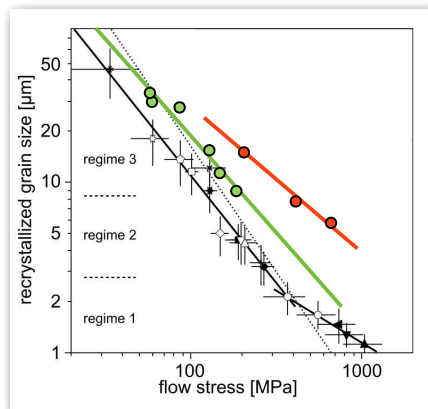
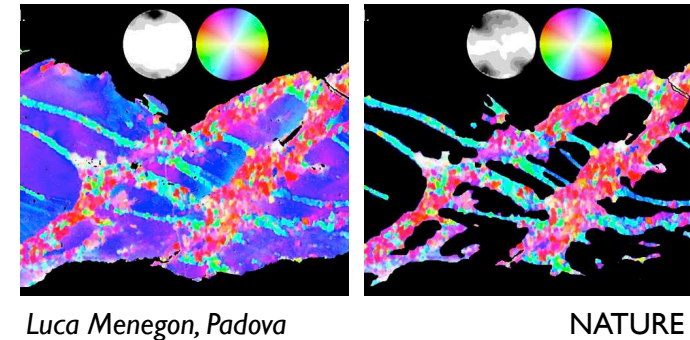
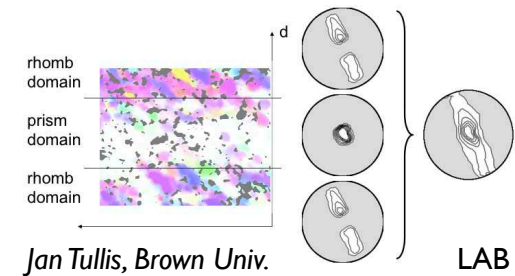


and different for Y-texture domain ?



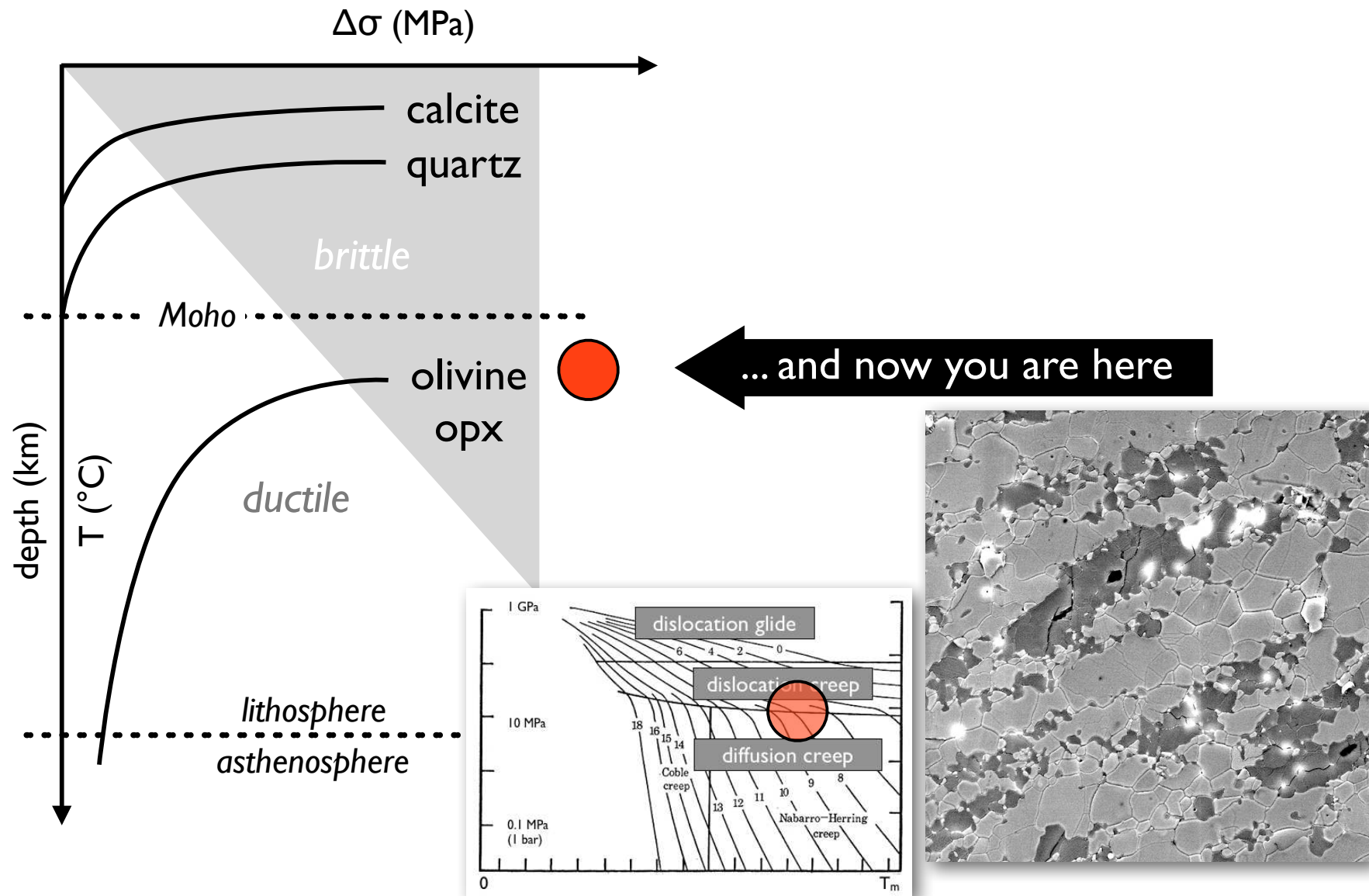
# ... so what about the strength of the lithosphere ?

- texture domains → composite material
- bulk textures don't exist  
⇒ bulk properties don't exist locally
- piezometer for axial versus shear
- different grain sizes coexist  
⇒ different flow stresses may co-exist  
⇒ viscosity contrasts among domains



- different piezometer for different domains
- ... or does the recrystallized piezometers have a problem ?

# 3rd stop:





# motivation: weakening of lower lithosphere

$$\dot{\epsilon} = A \cdot \Delta\sigma^n \cdot \exp(-Q/RT)$$

increasing strain

$$\dot{\epsilon} = A \cdot \Delta\sigma^n \cdot d^m \cdot \exp(-Q/RT)$$

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Research Article

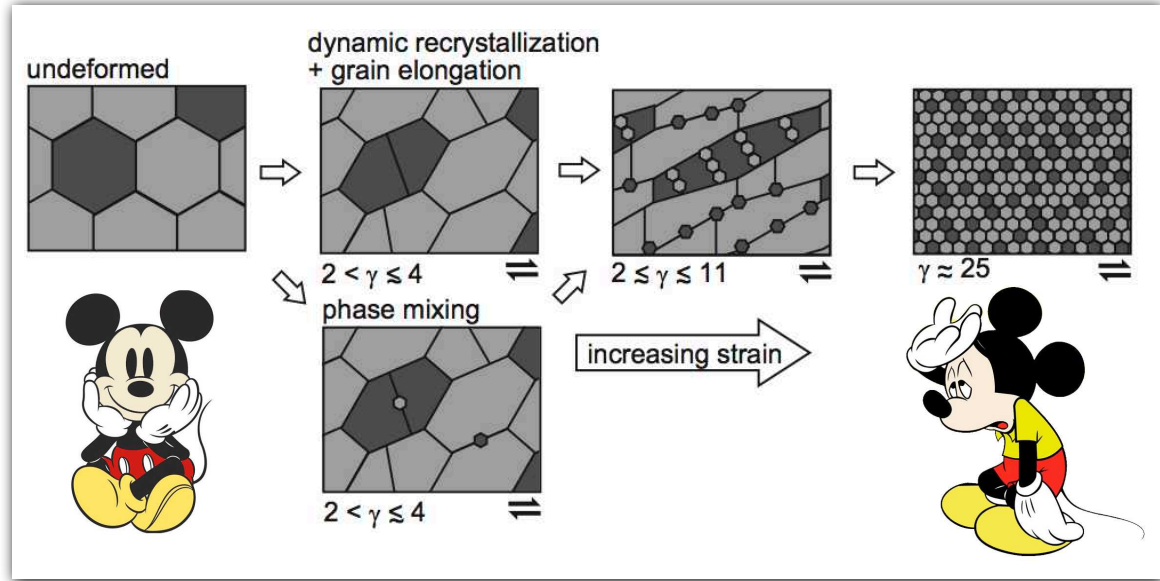
**Rheological weakening of olivine + orthopyroxene aggregates due to phase mixing, Part 1: Mechanical behavior**

Miki Tasaka , Mark E. Zimmerman, David L. Kohlstedt

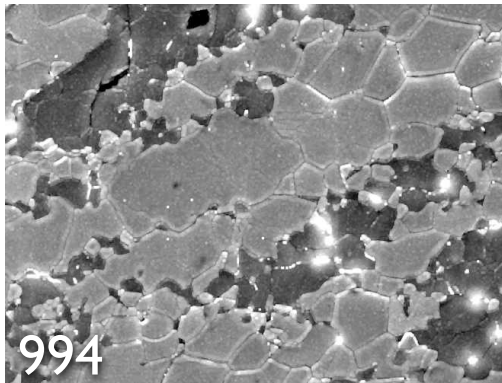
Accepted manuscript online: 8 September 2017 Full publication history

DOI: 10.1002/2017JB014333 View/save citation

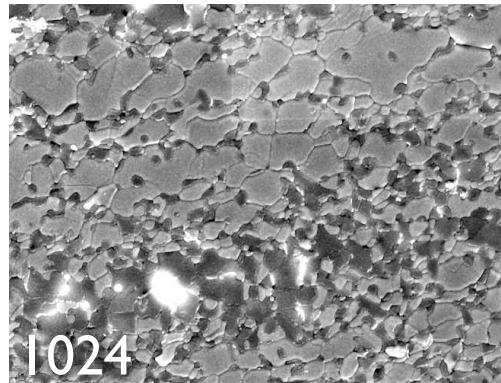
Tasaka et al. (JGR, 2017)



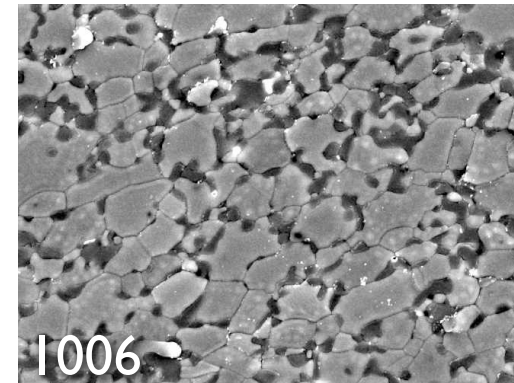
dislocation creep ?



phase mixing ?



diffusion creep ?



10 μm

# how to mix olivine and orthopyroxene ...

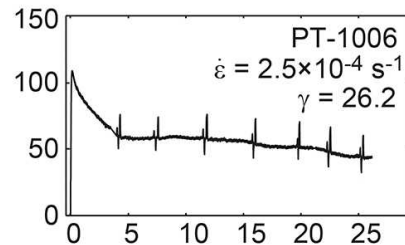


Gas medium High pressure Torsion apparatus (UMN)

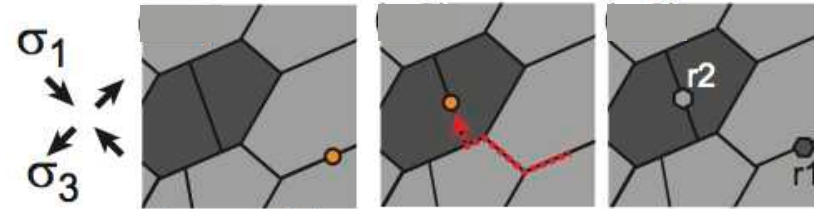


Miki Tasaka Mark Zimmerman David Kohlstedt

70% iron-rich olivine  
30% orthopyroxene  
hotpressed @1200°C  
d ~15 μm

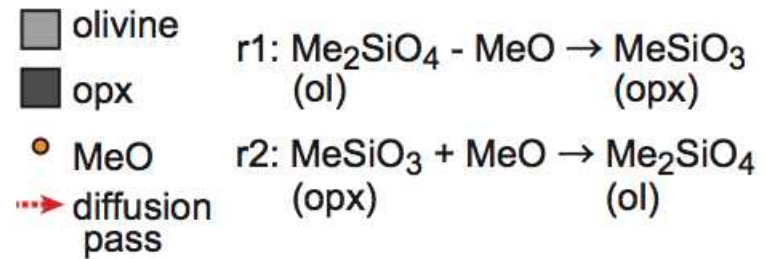


$p_c = 300 \text{ MPa}$   
 $T = 1200^\circ\text{C}$



in olivine:  
MeO dissolves at maximum  $\sigma_1$ .  
Reaction ol  $\rightarrow$  opx

in orthopyroxene:  
MeO diffuses to tension  $\sigma_3$ .  
Reaction opx  $\rightarrow$  ol



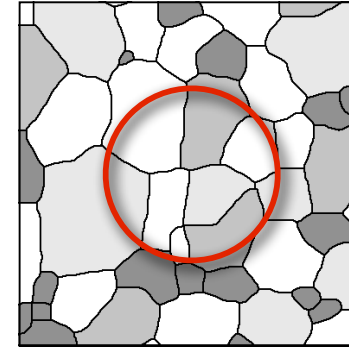
... by mechanical mixing and heterogeneous nucleation



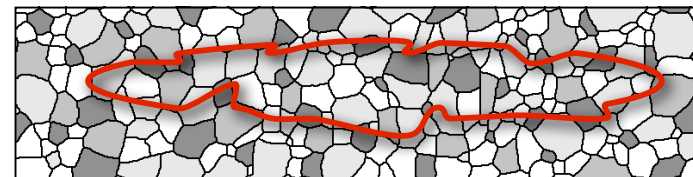
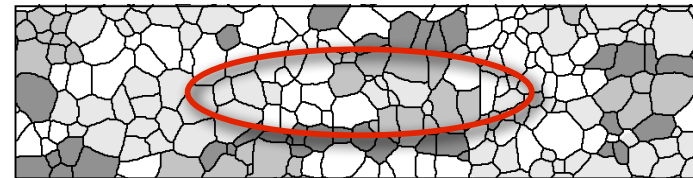
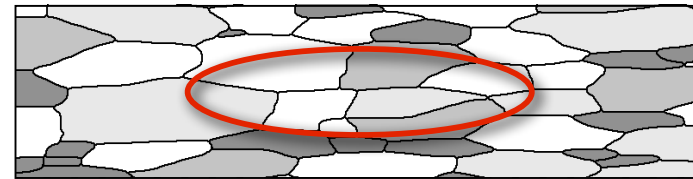
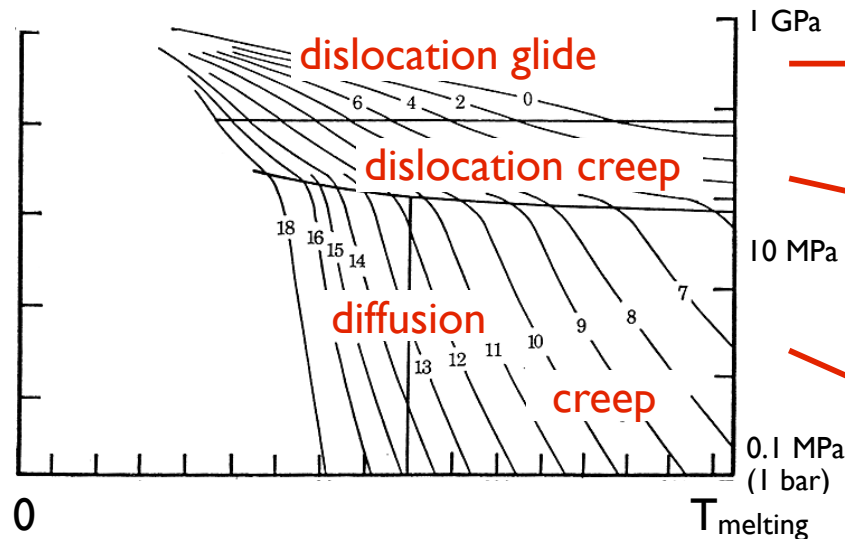
# the geometry of dislocation and diffusion creep

sample preparation

mechanical mixing

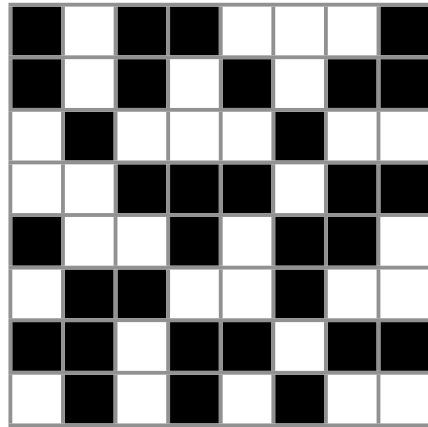


deformation

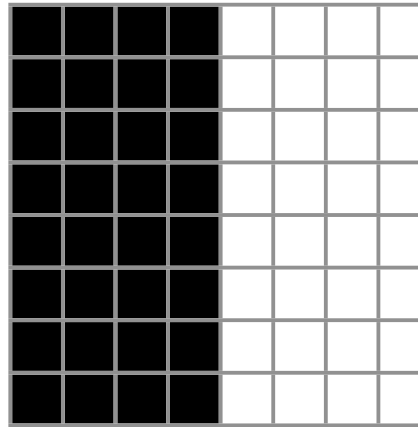


... models for mixing and deformation

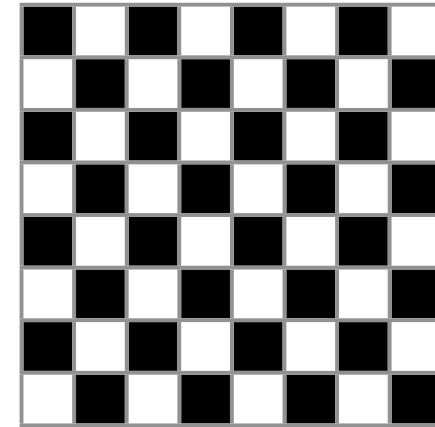
# describing spatial distributions ...



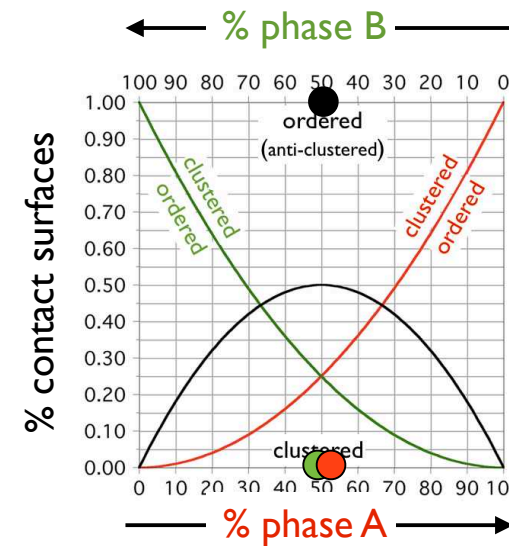
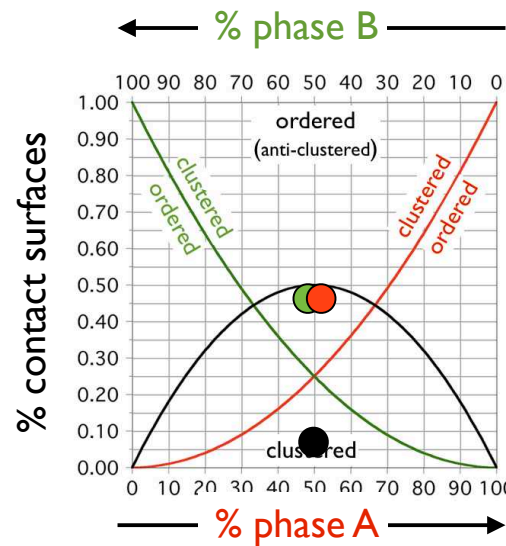
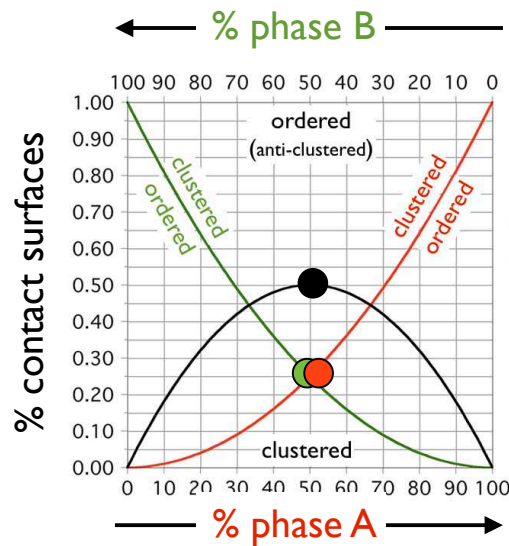
random



clustered



ordered

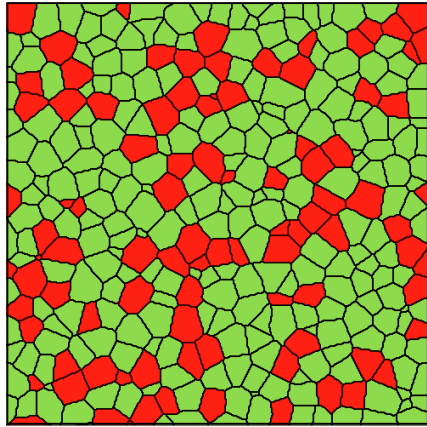


gb AA  
gb BB  
pb AB

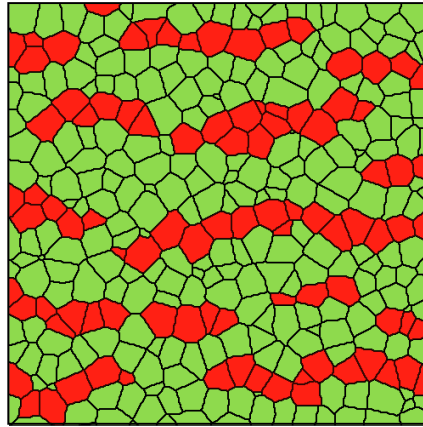
... in terms of phase and grain boundary probability ...



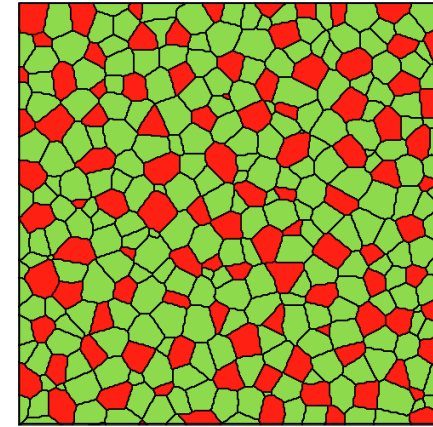
# describing spatial distributions ...



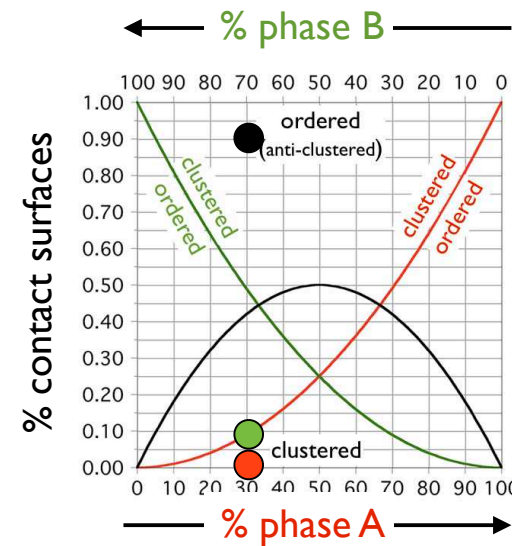
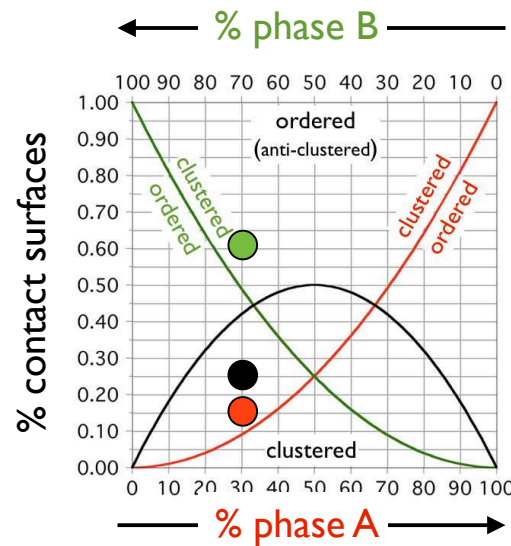
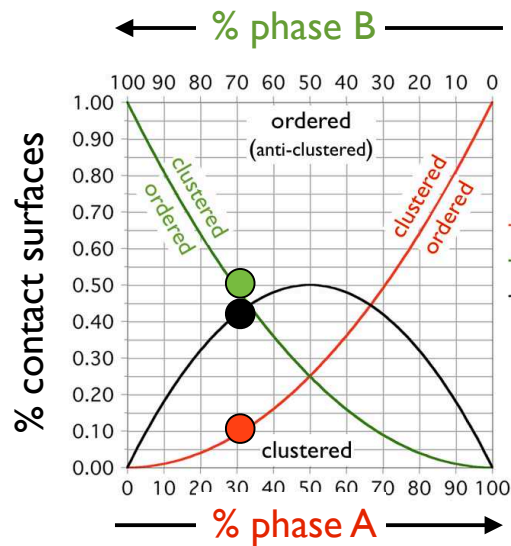
random



clustered



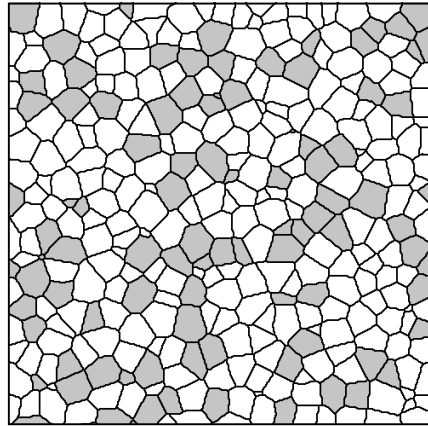
ordered



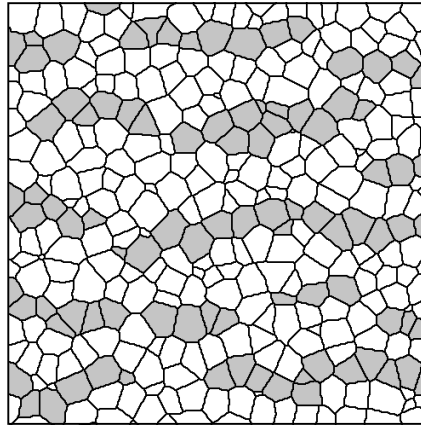
gb AA  
gb BB  
pb AB

... in terms of phase and grain boundary probability ...

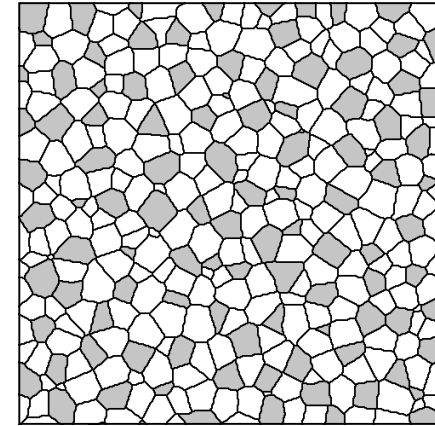
# describing spatial distributions ...



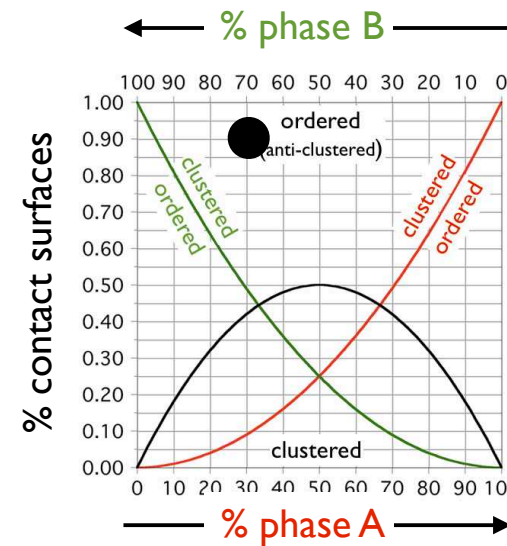
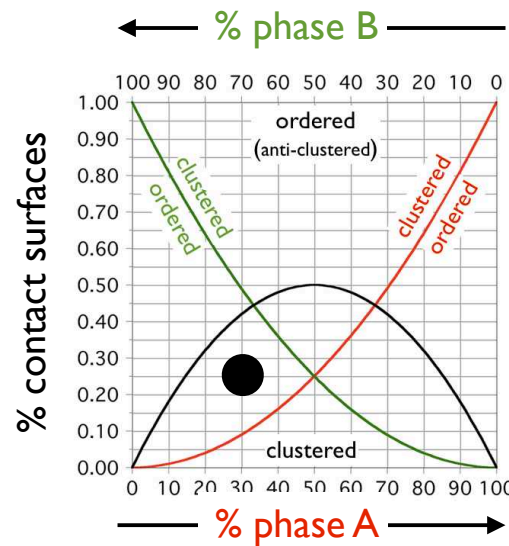
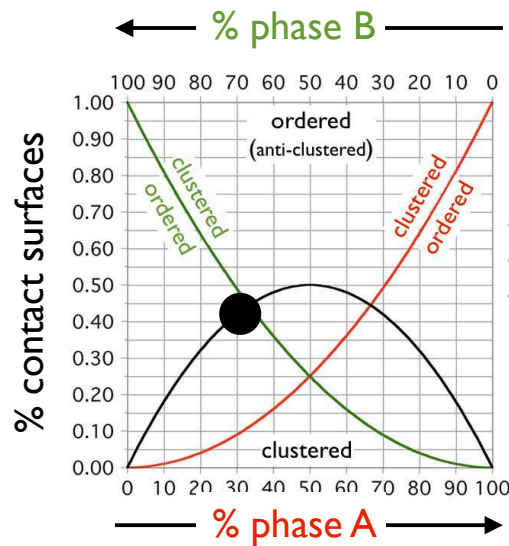
random



clustered



ordered



gb AA  
gb BB  
pb AB

... in terms of phase and grain boundary probability ...



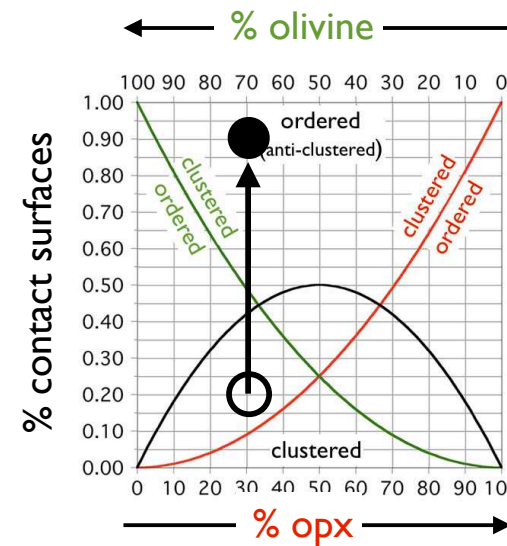
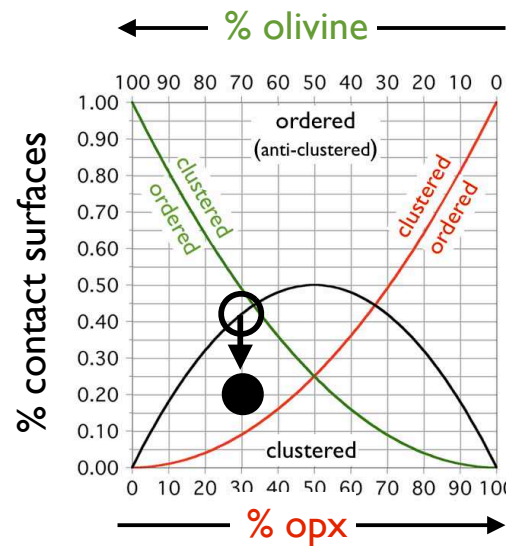
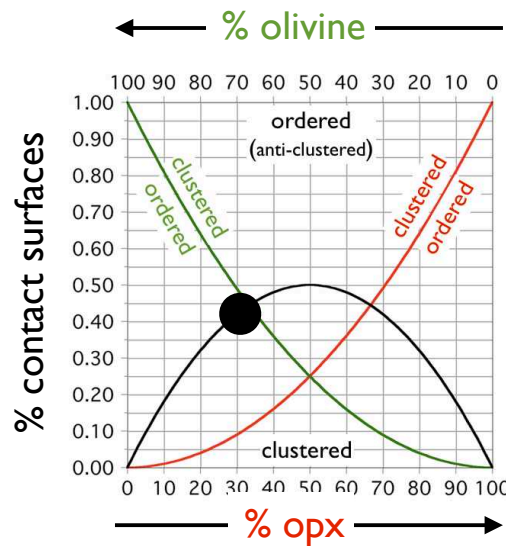
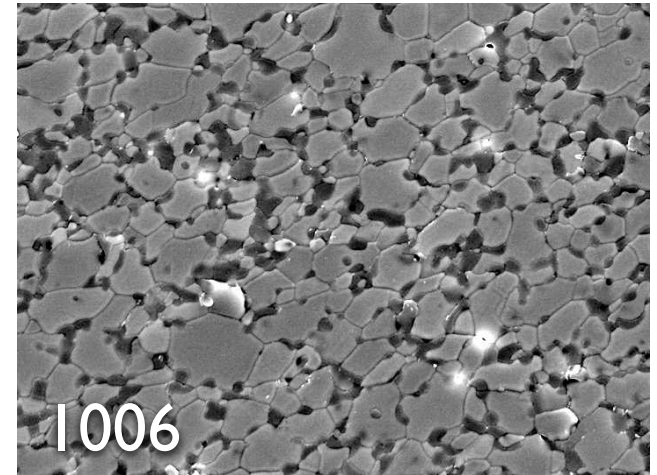
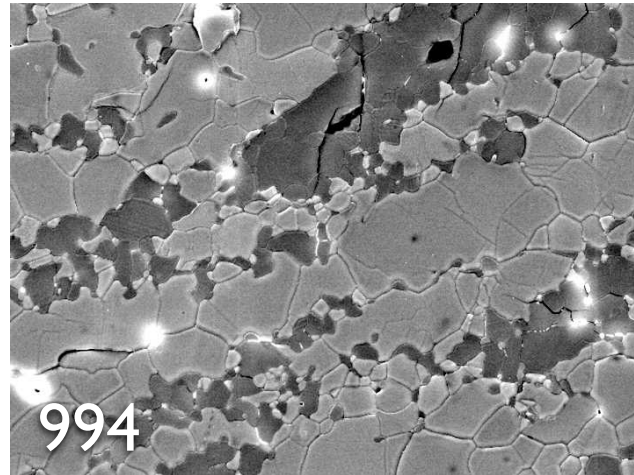
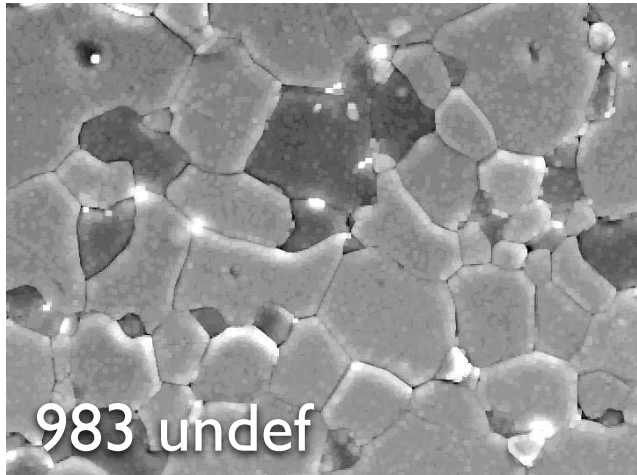
# so which spatial distributions do we expect ...

starting material

dislocation creep



diffusion creep

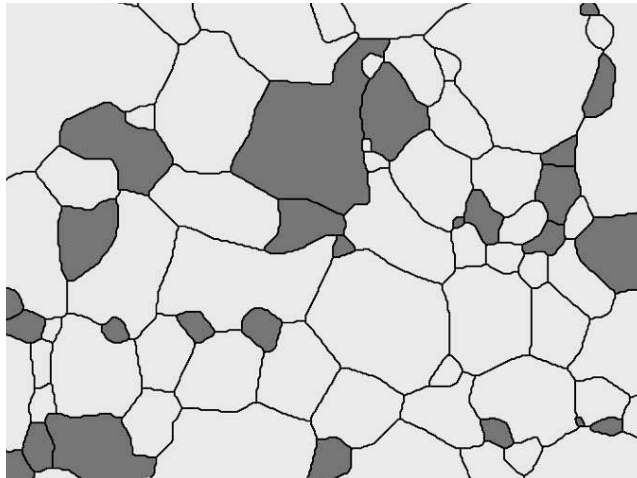


pb  
ol-opx

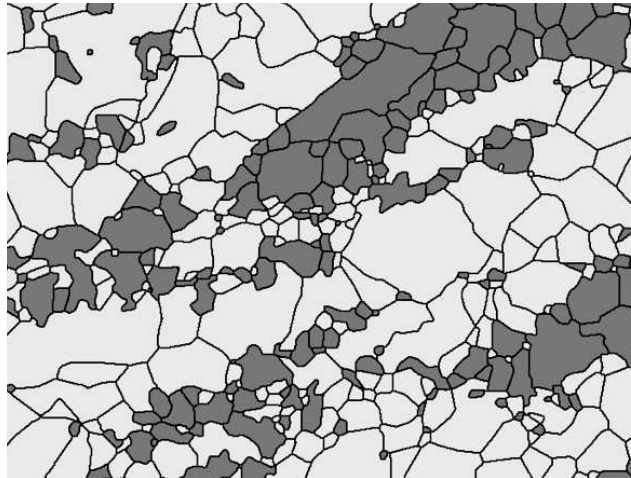
... from mixing to dislocation creep to diffusion creep ?

# and which spatial distributions do we get ?

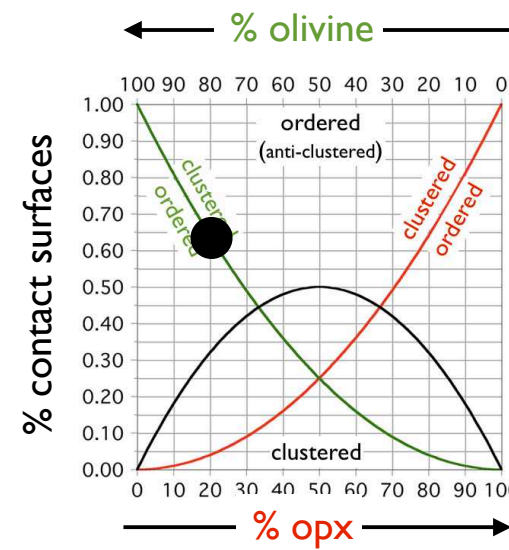
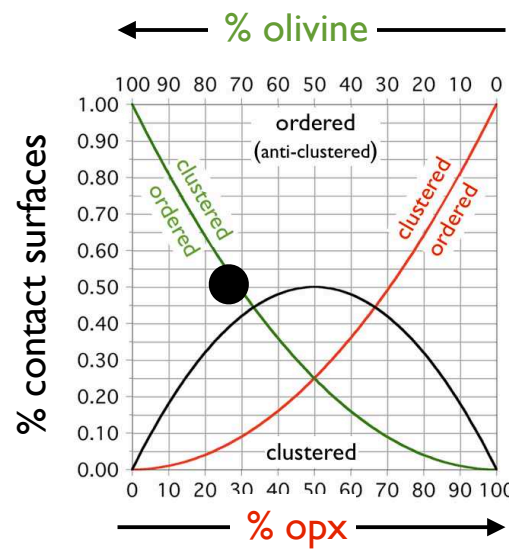
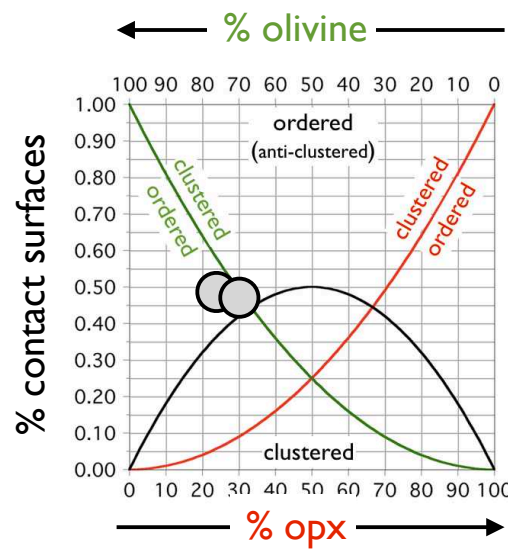
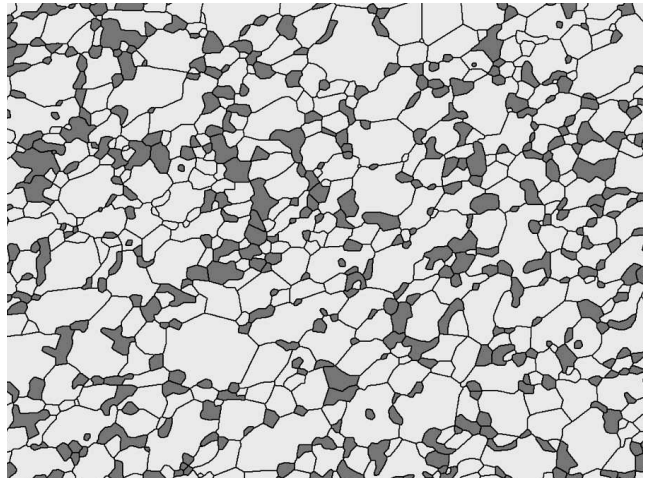
983 starting material



994 dislocation creep



1006 diffusion creep

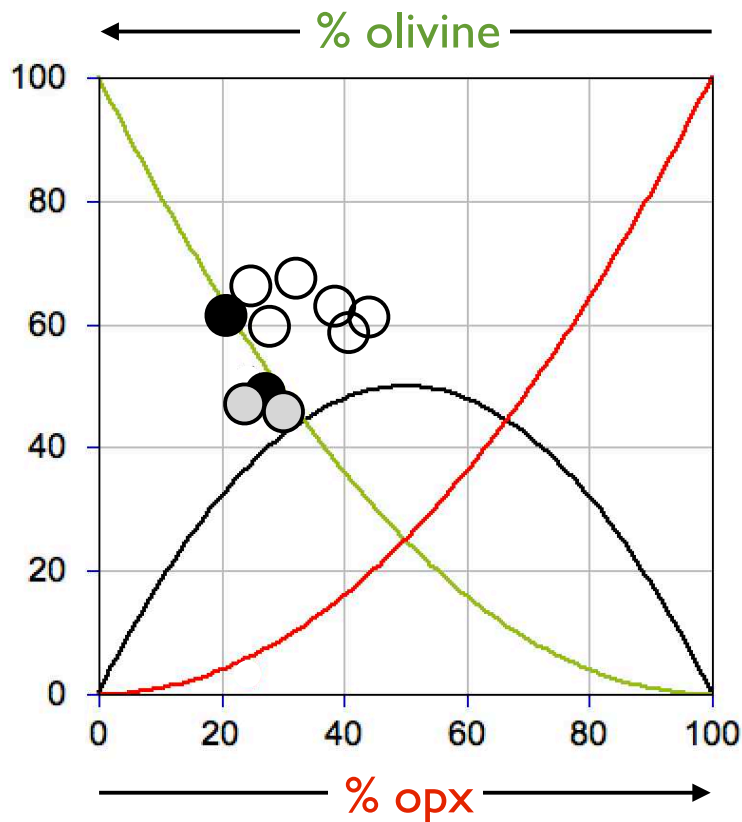


pb  
ol-opx

... not what we expect !



even the starting material is ordered !!



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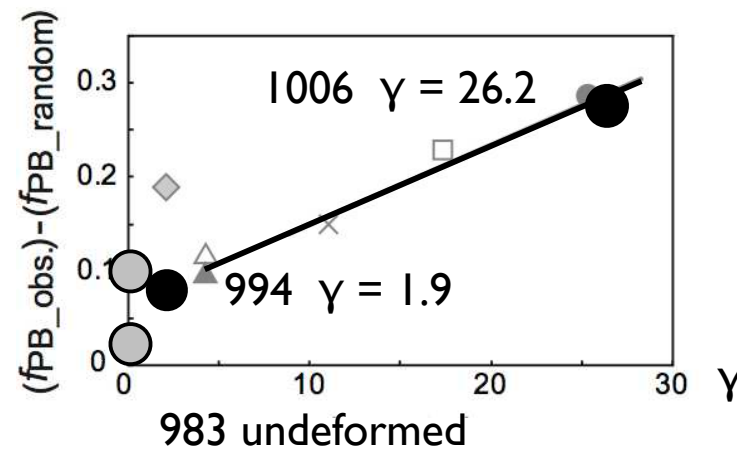
Miki Tasaka ✉, Mark E. Zimmerman, David L. Kohlstedt, Holger Stünitz, Renée Heilbronner

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Tasaka et al. (JGR, 2017)



and 'perfect mixing'  $\neq$  random process !

# ... and what about life in general ?

- things are often not what you think they are !  
random processes create clustering  
perfect mixing is not random  
strain often does not leave any trace
- take nothing for granted !  
... not even the recrystallized quartz piezometer !
- learn to live without steady state  
life - as any process of deformation - may be transitional ...
- enjoy research while it lasts  
... small samples require big statistics  
... diffuse data require precise measurements





**Betti Richter**

**Rüdiger Kilian**

**Sina Marti**

**Renée Heilbronner**

**no animals were hurt during any of  
the experiments**

**... except maybe some cock roaches ...**

**ONCE UPON A TIME IN ... BASEL**